

The Financial Intelligence Centre Act (FICA): Client Due Diligence (CDD) Listed Companies

Client Identity/Registration Number							

To comply with money laundering regulations, we require certain identification details and documents to be in place in order for an application to be processed. Incomplete details and documentation will delay the processing of an application and a business relationship cannot be formed until all documentation including any additional information (if requested), has been provided.

This form is to be completed when an application/alteration form does not provide for the required details. Important:

Please submit the supporting documentation with this form.

For the purpose of this form:

- The term Policyholder also refers to Investment Owner and Contract Owner.
- The term Contribution Payer also refers to Premium Payer and Fund Provider.

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Are you already a client?	Yes	No	Momentum	Metropolitan				
Name of stock exchange where company is listed								
Registered name or name under which it is incorporated (foreign company)								
Registered number or number under which it is incorporated (foreign company)								

1.1 Exchanges recognised for purposes of the exemption in respect of public companies of which the securities are listed on a stock exchange:

American Stock Exchange
Amsterdam Exchanges N.V.
Athens Stock Exchange
Australian Stock Exchange Ltd.
Barcelona Stock Exchange
Bermuda Stock Exchange Ltd.
Bolsa de Comercio de Buenos Aires
Bolsa de Madrid
Bolsa de Comercio de Santiago
Bolsa de Valores de Bilbao
Bolsa de Valores de Lima
Bolsa de Valores de Lisboa
Bolsa de Valores do Rio de Janeiro
Bolsa de Valores de Sao Paulo
Bolsa Mexicana de Valores
Bourse de Montréal
Brussels Stock Exchange Ltd.
Canadian Venture Exchange

Chicago Board Options Exchange, Inc.
Chicago Stock Exchange, Inc.
Colombo Stock Exchange
Copenhagen Stock Exchange
Deutsche Börse AG
Helsinki Exchanges Group Ltd.
Irish Stock Exchange
Istanbul Stock Exchange
Italian Exchange
Jakarta Stock Exchange
Johannesburg Stock Exchange
Korea Stock Exchange
Kuala Lumpur Stock Exchange
Ljubljana Stock Exchange, Inc.
London Stock Exchange
Malta Stock Exchange
National Association of Securities Dealers, Inc.
New York Stock Exchange, Inc.

New Zealand Stock Exchange						
OM Stock Exchange						
Osaka Securities Exchange Co. Ltd.						
Oslo Bors						
Paris Stock Exchange (Euronext)						
Oy Philippine Stock Exchange Inc.						
Irish Stock Exchange						
Société de la Bourse de Luxembourg S.A.						
Swiss Exchange Taiwan Stock Exchange Corp.						
The Stock Exchange of Thailand						
Tehran Stock Exchange						
Tel Aviv Stock Exchange Ltd.						
Toronto Stock Exchange						
Tokyo Stock Exchange, Inc.						
Warsaw Stock Exchange						
Wiener Börse AG						

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2: Protection of Personal Information

Momentum, Metropolitan are required to collect relevant information from each client for anti-money laundering and prevention of terrorist financing, for tax purposes according to the Intergovernmental Agreement ('the IGA"), and for reporting on these clients to the South African authorities where necessary.

We also collect personal information about you to offer you the best service. Your information is used for administrative, operational, audit, marketing, research, legal, statutory and record keeping purposes. We will take all reasonable steps necessary to secure the integrity of any personal information which we hold about you and to safeguard it against unauthorised access. We will not share this information outside of Momentum, Metropolitan, its associated groups or agents, without your explicit consent.

If you do not consent to us using your personal information, there may be delays for you or some instructions might not be carried out. You can have access to your information at any time and ask us to correct any information we have in our possession. Please feel free to write to us to obtain a copy of this information of which copies will be kept for a period of five years or more, as required by law.

3:	Signature of client specif	ed in Section 1	
Name	and surname of authorised signator	<i>,</i>	
S	ignature of		
а	uthorised signatory		Date D D M M Y Y Y Y

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