momentum

The Financial Intelligence Centre Act (FICA): Client Due Diligence (CDD) Closed Corporation

Client Identity/Registration Number

To comply with money laundering regulations, we require certain identification details and documents to be in place in order for an application to be processed. Incomplete details and documentation will delay the processing of an application and a business relationship cannot be formed until all documentation including any additional information (if requested), has been provided.

This form is to be completed when an application/alteration form does not provide for the required details.

Important:

Please submit the supporting documentation with this form.

For the purpose of this form:

- · The term Policyholder also refers to Investment Owner and Contract Owner.
- The term Contribution Payer also refers to Premium Payer and Fund Provider.

1: Information of policyholder/outright cessionary/contribution payer/person acting on behalf of

Are you already a client?	Yes)	Mom	entum	i		Met	ropolit	an						
1.1 Basic information															
Registered name															
Business status	In business			Dei	egister	red				Vol	untary	liquidat	ion		
Registration number								Date	registe	red	DD	MM	YN	ΥY	Y
Trade name (If different from registered nar	ne)														
Region	Western Cape			Noi	thern (Cape				Eas	stern C	ape			
	North West			Мр	umalar	nga				Lim	роро				
	Gauteng			Fre	e State	;				Kw	/azulu-	Natal			
Physical Busines Address	Unit number (if appl	icable)			Cor	nplex (if appli	cable)							
	Street number			Stree	et nam	e or na	me of	farm							
Suburb or district															
City or town											Posta	al code			
Country	South Africa	ZA	lf	not S	outh A	frica [Z	A], ple	ease sp	pecify tl	ne co	untry c	ode			
Is the postal address the same as the bus	iness' physical add	ress?	Yes		No	lf	no, pl	ease c	complet	te the	posta	l addres	SS.		
Postal address	PostNet Suite/PO E	Box/Priva	ite Bag												
Suburb or district															
City or town											Posta	al code			
Country	South Africa	ZA	lf	not S	outh A	frica [Z	A], ple	ease sp	pecify tl	ne co	untry c	ode			
Is the business the owner/tenant of the ab	ove property, from	where it i	is operati	ng?	٥v	vner		Те	nant						
* Ensure that the business' physical addr	ess details on appl	ication fo	orm matcl	hes th	e addr	ess ve	rificat	ion doo	cument	s pro	vided.				
Purpose of corporation's activities															
VAT reference number															
If no VAT reference number, please give r	eason														

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1.2 Additional information

All individuals ultimately holding a material interest in the capital of the company have to be identified and will require individual identity verification.

1.2.1 Details of all active members

1. Full names			2. Full names		
Identity/Passport number			Identity/Passport number	-	
Physical address			Physical address		
Designation/Capacity			Designation/Capacity		
PEP	Yes	No	PEP	Yes	No
DPIP	Yes	No	DPIP	Yes	No
FPPO	Yes	No	FPPO	Yes	No
3. Full names			4. Full names		
Identity/Passport number			Identity/Passport number	-	
Physical address			Physical address		
Designation/Capacity			Designation/Capacity		
PEP	Yes	No	PEP	Yes	No
DPIP	Yes	No	DPIP	Yes	No
FPPO	Yes	No	FPPO	Yes	No
5. Full names			6. Full names		
Identity/Passport number			Identity/Passport number	-	
Physical address			Physical address		
Designation/Capacity			Designation/Capacity		
PEP	Yes	No	PEP	Yes	No
DPIP	Yes	No	DPIP	Yes	No
FPPO	Yes	No	FPPO	Yes	No

1.2.2 Details of persons authorised to act/transact with Momentum, Metropolitan on behalf of the CC, who is not a member of the CC

1. Full names			2. Full names			
Identity/Passport number			Identity/Passport	t number		
Physical address			Physical address	S		
Designation/Capacity			Designation/Cap	bacity		
PEP	Yes	No	PEP	Yes	No	
DPIP	Yes	No	DPIP	Yes	No	
FPPO	Yes	No	FPPO	Yes	No	
			. – –			
3. Full names			4. Full names			
3. Full names Identity/Passport number			4. Full names Identity/Passport	t number		
Identity/Passport number			Identity/Passport			
Identity/Passport number			Identity/Passport	S		
Identity/Passport number Physical address	Yes	No	Identity/Passport Physical address	S	No	
Identity/Passport number Physical address Designation/Capacity	Yes Yes	No No	Identity/Passport Physical address Designation/Cap	s pacity	No No	

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5. Full names				6. Full names			
Identity/Passport number				Identity/Passport number			
Physical address				Physical address			
Designation/Capacity				Designation/Capacity			
PEP	Yes	No		PEP	Yes	No	
DPIP	Yes	No		DPIP	Yes	No	
FPPO	Yes	No		FPPO	Yes	No	

1.3 Anti-money laundering provisions

1.3.1 Source of Income/Wealth* (Policyholder)

Nature of business

Source of income/wealth is defined as funds received on a regular basis for work/services rendered or investment income.

1.3.2 Source of funds for investment/transaction*

Business profit	Name of business
Sale of property	Address of sold property
Sale of assets	Description of what was sold
Sale of business	Registration number
Investment Savings	Source of capital/savings
	Name of investment company
	Account/contract number where the funds are held
Donation	Source of donation
Other	Specify

* The source of funds funding the transaction or new business investment will not always correspond with the source of income/wealth, e.g. an employed person may sell his/her property and invest the capital gained.

2: Verification documents

2.1 Verificati	on of registered n	ame, number and add	ress				
CK1	and/or	CK2 (if applicable)	and/or	COR 18.1	and/or	COR 18.3	
Note: CIPC printo	uts as proof of registr	ation may not be older that	n three (3) mon	ths.			

2.1.1 Verification of all members of the CC

Please complete the checklist applicable to natural persons - FICA 001 (Section 1.1 & 1.2 & Section 2.1) for each member.

2.1.2 Verification of each person authorised to act/transact with Momentum, Metropolitan on behalf of the CC (where the authorised person is not a member of the CC)

2.1.2.1 Resolution by the members authorising the conclusion of the contract and reflecting the names of persons authorised to conclude the transaction on behalf of the closed corporation (less than 3 months old); and
2.1.2.2 Please complete the checklist applicable to natural persons - FICA 001 (Section 1.1 & 1.2 & Section 2.1) for each authorised person.

2.1.3	Verification of legal or natural persons, partners or trusts entitled to exercise 25% or more of the voting rights at the general meetings of the
	company

2.1.3.1 Natural persons - FICA	(Section 1.1 & 1.2 & Section 2.1).	
2.1.3.2 Private companies - FIG	02 (Section 1.1 & 1.2 & Section 2.1).	
2.1.3.3 Closed Corporations - I	.003 (Section 1.1 & 1.2 & Section 2.1).	
2.1.3.4 Other legal persons - (S	on 1.1 & 1.2 & Section 2.1).	
2.1.3.5 Trusts - FICA 005 (Sec	1.1 & 1.2 & Section 2.1 & 2.2).	
2.1.3.6 Partnerships - FICA 00	ection 1.1 & 1.2 & Section 2.1).	
2.1.3.7 Foreign companies - Fl	007 (Section 1.1 & 1.2 & Section 2.1).	
2.1.3.8 Listed companies - FIC	0.	

2.2 Verification of trade name and business address

Please attach one of the following, reflecting the trade name and business address:

2.2.1	A city council/municipal/electricity provider/utility/service bill (less than 3 months old); or
2.2.2	A lease or rental agreement/rental contract renewal (less than 12 months old); or
2.2.3	A property rates and taxes invoice (less than 3 months old); or
2.2.4	A mortgage statement (less than 3 months old); or
2.2.5	A Telkom land line, fiber or ADSL account (less than 3 months old); or
2.2.6	A short-term insurance schedule (less than 12 months old); or
2.2.7	Correspondence from a body corporate/share-block association (less than 3 months old); or
2.2.8	A bill/statement from a company contracted for an ongoing service at the property given as the business address. Examples of such services are security monitoring and armed response services that are regularly billing the business (less then 3 months old); or
2.2.9	Bank account statement (less than 3 months old).
2.2.10	A document from SARS (less than 3 months old).
2.2.11	Mobile account from service provider (less than 3 months old).

3: Verification of bank details

- If the account is not in the name of the policyholder, please complete and provide the documentation in accordance with the appropriate FICA checklists on the account holder (e.g. CC, Trust, Other legal entity, etc.).
- No payment received from a third party will be invested until the required documentation is received and reviewed.

3.1 Provide your bank account details if you have selected to have your contributions (single or recurring) collected from your bank account

Name of account holder	
Name of bank	
Branch code	Branch name
Account number	
Account type	Current/Cheque Savings

3.2 If funds are paid directly into a Momentum or Metropolitan bank account

A proof of deposit is required and must in	dicate:						
From which institution was the deposit ma	ade						
Date of deposit	D D M M Y Y Y	Amount deposited					
Source (account number) from where the	funds originated						
Name of account holder							
The contract number for which the funds	are intended						
** We will not make payments to credit of	ards market-linked accounts or thi	rd party accounts. Pa	avments can	only he ma	de to the Po	licyholder's	own

** We will not make payments to credit cards, market-linked accounts or third party accounts. Payments can only be made to the Policyholder's own bank account. No third party payments are permitted.

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4: Protection of Personal Information

Momentum, Metropolitan are required to collect relevant information from each client for anti-money laundering and prevention of terrorist financing, for tax purposes according to the Intergovernmental Agreement ('the IGA"), and for reporting on these clients to the South African authorities where necessary.

We also collect personal information about you to offer you the best service. Your information is used for administrative, operational, audit, marketing, research, legal, statutory and record keeping purposes. We will take all reasonable steps necessary to secure the integrity of any personal information which we hold about you and to safeguard it against unauthorised access. We will not share this information outside of Momentum, Metropolitan, its associated groups or agents, without your explicit consent.

If you do not consent to us using your personal information, there may be delays for you or some instructions might not be carried out. You can have access to your information at any time and ask us to correct any information we have in our possession. Please feel free to write to us to obtain a copy of this information of which copies will be kept for a period of five years or more, as required by law.

5: Signature of client specified in Section 1

Name and surname of authorised signator	У		
Signature of authorised signatory		Date	DDMMYYYY

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