

The Financial Intelligence Centre Act (FICA): Client Due Diligence (CDD) Foreign Company

Client Identity/Registration Number

To comply with money laundering regulations, we require certain identification details and documents to be in place in order for an application to be processed. Incomplete details and documentation will delay the processing of an application and a business relationship cannot be formed until all documentation including any additional information (if requested), has been provided.

This form is to be completed when an application/alteration form does not provide for the required details.

Important:

Please submit the supporting documentation with this form.

For the purpose of this form:

- The term Policyholder also refers to Investment Owner and Contract Owner.
- The term Contribution Payer also refers to Premium Payer and Fund Provider.

1: Information of policyholder/outright cessionary/contribution payer/person acting on behalf of _____

Are you already a client? Yes No Momentum Metropolitan

1.1 Basic information

Name under which it is incorporated

Number under which it is incorporated

Address where it is situated for the purpose of its incorporation

Unit number (if applicable) Complex (if applicable)

Street number Street name or name of farm

Suburb or district

City or town Postal code

Country South Africa Z A If not South Africa [ZA], please specify the country code

Trading name under which it conducts business

Head office address

Postal code

Trading name in RSA

Business address in country of incorporation

Postal code

Physical business address in RSA

Unit number (if applicable) Complex (if applicable)

Street number Street name or name of farm

Suburb or district

City or town Postal code

South African Revenue Service (SARS) income tax reference number

If no registration number, explain why

1.2 Additional information

** All individuals ultimately holding a material interest in the capital of the company have to be identified and will require individual identity verification.

2.2 Verification of Ultimate Beneficial Ownership

2.2.1 Verification of legal or natural persons, partners or trusts entitled to exercise 25% or more of the voting rights at the general meetings of the company

Please provide one of the options noted below:

2.2.1.1 Latest structure chart of entity; or
2.2.1.2 Latest audited Financial Statements; or
2.2.1.3 Rationale for the company and details of the structure; or
2.2.1.4 A copy of Director and shareholder register; or
2.2.1.5 Written confirmation of the company shareholding.

2.2.2 Verification of legal or natural persons, partners or trusts entitled to exercise 25% or more of the voting rights at the general meetings of the company

Please complete the appropriate checklist:

2.2.2.1 Natural persons - FICA 001 (Section 1.1 & 1.2 & Section 2.1)
2.2.2.2 Private companies - FICA 002 (Section 1.1 & 1.2 & Section 2.1)
2.2.2.3 Closed Corporations - FICA 003 (Section 1.1 & 1.2 & Section 2.1)
2.2.2.4 Other legal persons - FICA 004 (Section 1.1 & 1.2 & Section 2.1)
2.2.2.5 Trusts - FICA 005 (Section 1.1 & 1.2 & Section 2.1 & 2.2)
2.2.2.6 Partnerships - FICA 006 (Section 1.1 & 1.2 & Section 2.1)
2.2.2.7 Foreign companies - FICA 007 (Section 1.1 & 1.2 & Section 2.1)
2.2.2.8 Listed companies - FICA 010

2.3 Verification of trade name and business address

Please attach one of the following, reflecting the trade name and business address:

2.3.1 A city council/municipal/electricity provider/utility/service bill (less than 3 months old); or
2.3.2 A lease or rental agreement/rental contract renewal (less than 12 months old); or
2.3.3 A property rates and taxes invoice (less than 3 months old); or
2.3.4 A mortgage statement (less than 3 months old); or
2.3.5 A Telkom land line, fiber or ADSL account (less than 3 months old); or
2.3.6 A short-term insurance schedule (less than 12 months old); or
2.3.7 Correspondence from a body corporate/share-block association (less than 3 months old); or
2.3.8 A bill/statement from a company contracted for an ongoing service at the property given as the business address. Examples of such services are security monitoring and armed response services that are regularly billing the business (less than 3 months old); or
2.3.9 A bank statement (less than 3 months old); or
2.3.10 Mobile account from service provider (less than 3 months old).

3: Verification of bank details

- If the account is not in the name of the policyholder, please complete and provide the documentation in accordance with the appropriate FICA checklists on the account holder (e.g. CC, Trust, Other legal entity, etc.).
- No payment received from a third party will be invested until the required documentation is received and reviewed.

3.1 Provide your bank account details if you have selected to have your contributions (single or recurring) collected from your bank account

Name of account holder

Name of bank

Branch code Branch name

Account number

Account type Current/Cheque Savings

3.2 If funds are paid directly into a Momentum or Metropolitan bank account

A proof of deposit is required and must indicate:

From which institution was the deposit made

Date of deposit D D M M Y Y Y Y Amount deposited

Source (account number) from where the funds originated

Name of account holder

The contract number for which the funds are intended

** We will not make payments to credit cards, market-linked accounts or third party accounts. Payments can only be made to the Policyholder's own bank account. No third party payments are permitted.

4: Protection of Personal Information

Momentum, Metropolitan are required to collect relevant information from each client for anti-money laundering and prevention of terrorist financing, for tax purposes according to the Intergovernmental Agreement ("the IGA"), and for reporting on these clients to the South African authorities where necessary.

We also collect personal information about you to offer you the best service. Your information is used for administrative, operational, audit, marketing, research, legal, statutory and record keeping purposes. We will take all reasonable steps necessary to secure the integrity of any personal information which we hold about you and to safeguard it against unauthorised access. We will not share this information outside of Momentum, Metropolitan, its associated groups or agents, without your explicit consent.

If you do not consent to us using your personal information, there may be delays for you or some instructions might not be carried out. You can have access to your information at any time and ask us to correct any information we have in our possession. Please feel free to write to us to obtain a copy of this information of which copies will be kept for a period of five years or more, as required by law.

5: Signature of client specified in Section 1

Name and surname of authorised signatory

Signature of authorised signatory

Date D D M M Y Y Y Y