

Momentum Collective Investments (RF) (Pty) Ltd

Investment application form for an entity

Important information

1. Send the completed form and required documentation to ci.clientservice@momentum.co.za. If you have questions or need more information, you can contact our client contact centre on 0860 111 899.
2. This form, the investment terms and the minimum disclosure document (MDD) of each portfolio (fund) are the basis of our investment agreement. The investment terms and the MDDs are available on momentum.co.za/collectiveinvestments.
3. We need a copy of the appointment of the authorised persons who may act on behalf of the entity. For a trust, we also need a letter of authority from the Master's Office confirming registration of the trust and a copy of the trust deed or founding document. You can also refer to our [Fica forms](#) for acceptable documents.
4. Based on the information you provide, we may ask for additional information and documents.
5. If we cannot process any part of this request, we will inform you or your financial adviser.
6. You may not change any part of this form and the terms of this investment. When you correct any information you have completed, sign next to it.
7. After we have reviewed your investment application, we will confirm your unique investment number that starts with RU. We will also confirm our bank account details if you choose to pay your investment amount to our bank account. Use the RU investment number as the reference number when you make the investment payment.
8. We will finalise your investment request once your investment payment reflects in our bank account and all requirements have been met.

1.3 Entity representatives

We need to identify all persons who ultimately hold a material interest in the entity, including persons appointed to do transactions with us on behalf of the entity. List these persons and give their details with their capacities below. We will need a **Personal details declaration** for any representative whose details are not on the list.

The trustees, active members, managers, chief executive officer or managing director

	Full name(s)	Identification number	Identification country of issue	Physical address	Capacity	Appointment date/ Percentage holding
1.						
2.						
3.						
4.						

The trust beneficiaries or the shareholders (according to the last financial statements)

	Full name(s)	Identification number	Identification country of issue	Physical address	Capacity	Percentage holding (for shareholding)
1.						
2.						
3.						
4.						

If a trust, the founders of the trust

	Full name(s)	Identification number	Identification country of issue	Physical address
1.				
2.				
3.				
4.				

Natural persons or legal entities entitled to exercise 25% or more of the voting rights at general meetings of the entity

	Full name(s)	Identification number	Identification country of issue	Physical address	Capacity	Percentage holding
1.						
2.						
3.						
4.						

If a foreign registered entity, the managers in South Africa that look after the entity's affairs in this country

	Full name(s)	Identification number	Identification country of issue	Physical address	Capacity	Appointment date
1.						
2.						
3.						
4.						

1.4 Entity tax details

Is the investor registered as a tax payer with Sars? Yes No

If registered, give the Sars tax number

If not registered, give more details

If registered for VAT, give the VAT number

If the entity is currently, or have been previously, registered for tax in other countries, complete those details below.

Tax identification number (TIN)	Tax residence country code	Country name
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

1.4.1 Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) classification

The Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) allow for the efficient exchange of information between South Africa and other participating countries. Tax legislation requires us to obtain tax and other information on all persons and entities that have tax obligations outside of South Africa.

What type of financial institution is the entity? Choose an option and give more information.

A. Non-financial entity (NFE)

B. Financial institution (FI)/Foreign financial institution (FFI)

Active non-financial entity

Passive non-financial entity

If passive NFE, how many substantial owner/controlling persons does the entity have?

Give their names:

Give the entity's global intermediary identification number (GIIN)

If you do not have a GIIN number, please specify the reason for this by selecting one of the below options:

Non-participating FI/FFI	<input type="checkbox"/>	Owner-documented FI/FFI	<input type="checkbox"/>
Certified deemed compliant FI/FFI	<input type="checkbox"/>	Exempt beneficial owner	<input type="checkbox"/>
United States FI	<input type="checkbox"/>	Other	<input type="checkbox"/>

If "other", give more details:

1.4.2 Tax exemption details

1.4.2.1 South African dividend withholding tax

We must withhold dividend withholding tax on South African dividends we receive for your investment. Sars allows certain investors to be exempt, according to section 64F, 64H(2)(b) or 64H(3)(b)(i) of the South African Income Tax Act no.58 of 1962.

Do you qualify for this exemption? Yes No

If 'Yes', choose the reasons from the list below.

<input type="checkbox"/> Section 64F(1)(a) – a company that is resident in South Africa.	Sars exemption code	A
<input type="checkbox"/> Section 64F(1)(b) – the Government of the Republic of South Africa in the national, provincial or local sphere.	Sars exemption code	B
<input type="checkbox"/> Section 64F(1)(c) – a public benefit organisation (approved by Sars according to section 30(3) of the Act).	Sars exemption code	C
<input type="checkbox"/> Section 64F(1)(d) – a trust according to section 37A of the Act (mining rehabilitation trusts).	Sars exemption code	D
<input type="checkbox"/> Section 64F(1)(e) – an institution, body, or board according to section 10(1)(cA) of the Act.	Sars exemption code	E
<input type="checkbox"/> Section 64F(1)(f) – a fund according to section 10(1)(d)(i) or (ii) of the Act (pension fund, pension preservation fund, provident fund, provident preservation fund, retirement annuity fund, beneficiary fund or benefit fund).	Sars exemption code	F
<input type="checkbox"/> Section 64F(1)(g) – a person according to section 10(1)(t) of the Act (CSIR, Sanral, etc).	Sars exemption code	G

- | | | | |
|--------------------------|--|---------------------|----|
| <input type="checkbox"/> | Section 64F(1)(h) – a shareholder in a registered microbusiness as defined in the Sixth Schedule to the Act to the extent that the aggregate amount of the dividends paid by that registered microbusiness to its shareholders during the year of assessment in which that dividend is paid, does not exceed R200 000. | Sars exemption code | H |
| <input type="checkbox"/> | Section 64F(1)(i) – a small business funding entity according to section 10(1)(cQ). | Sars exemption code | I |
| <input type="checkbox"/> | Section 64F(1)(j) – a person who is not an SA tax resident, and the dividend is as per paragraph (b) of the definition of “dividend” in section 64D (ie a dividend on a foreign company’s shares listed on a recognised South African stock exchange, such as dual-listed shares). | Sars exemption code | J |
| <input type="checkbox"/> | Section 64F(1)(l) – any person to the extent that the dividend constitutes income of that person. If the investor is a tax resident in South Africa, the investor qualifies for this exemption on certain dividends (eg Real Estate Investment Trust Dividends (REIT)) and must select it. | Sars exemption code | L |
| <input type="checkbox"/> | Section 64F(1)(n) – any fidelity or indemnity fund according to section 10(1)(d)(iii). | Sars exemption code | N |
| <input type="checkbox"/> | Section 64F(2) – any dividend paid by a REIT or a controlled property company, as defined in section 25BB, and received or accrued before 1 January 2014 is exempt from the Dividend Withholding Tax to the extent that the dividend does not consist of a dividend in specie. | Sars exemption code | W |
| <input type="checkbox"/> | Section 64H(2)(b) – distribution to another regulated intermediary. | Sars exemption code | PT |

Sars also allows for certain investors to qualify for a reduced dividend withholding tax rate.

Do you qualify for a reduced rate? Yes No

The requirements of Article of the Agreement for the Avoidance of Double Taxation and Prevention of Fiscal Evasion (DTA) in force between South Africa and the investors country of tax residence, and sections 64FA, 64G or 64H of the South African Income Tax Act no. 58 of 1962 have been met. Dividends must be taxed at a reduced rate of: , %

1.4.2.2 Interest withholding tax

We must withhold interest withholding tax for foreign investors on interest received from a South African source that is subject to interest withholding tax. Sars, allows certain investors to be exempt according to section 50D(3) of the South African Income Tax Act no. 58 of 1962.

Do you qualify for this exemption? Yes No

If ‘Yes’, choose the reasons from the list below.

- 50D(3)(a) – the beneficial owner of the investment is a foreign natural person who was physically present in the Republic of South Africa for a period exceeding 183 days in aggregate during the twelve (12) month period preceding the date on which the interest is paid.
- 50D(3)(b) – the debt claim for which that interest is paid is effectively connected with a permanent establishment of that foreign person in the Republic, if that foreign person is registered as a taxpayer in terms of Chapter 3 of the Tax Administration Act.

Sars also allows for certain investors to qualify for a reduced dividend withholding tax rate.

Do you qualify for a reduced rate? Yes No

The requirements of Article of the Agreement of the Avoidance of Double Taxation and Prevention of Fiscal Evasion (DTA) in force between the Republic of South Africa and the investors country of tax residence, and sections 50E(3) of the South African Income Tax Act no. 58 of 1962 have been met. Interest, which is subject to interest withholding tax, must be taxed at a reduced rate of: , %

The tax exemption or reduced rate reasons selected in Section 1.4 of this application are valid for a period of five (5) years from completion date. The **Dividends Withholding Tax Renewal form** and/or the **Interest Withholding Tax Renewal form** must be completed every 5 years to ensure that your tax exemption details are kept up to date.

2 Investment details

You can choose to invest an initial lump sum, a regular investment, a unit transfer or a combination of these. Indicate your choice below and only complete corresponding sections accordingly.

2.1 Lump sum investment 2.2 Regular investment 2.3 Unit transfer

2.1 Lump sum investment

The minimum lump sum investment amount is R2 000 per fund.

Total lump sum investment amount R

Is the lump sum amount paid from the entity’s bank account? Yes No

If ‘No’, we need the **Momentum Collective Investments Payer form** from the investment payer.

What is the source of the lump sum amount?

Tell us where this investment amount comes from and give more information. You can choose more than one.

The source of the lump sum amount is not necessarily the same as the source of income or wealth.

<input type="checkbox"/>	Business profit	Business profit, name of business
<input type="checkbox"/>	Investment proceeds	Name of company, account or reference number and details of the transaction, like maturity benefit, full or part withdrawal, retirement fund benefit
<input type="checkbox"/>	Investment return	Name of company, account or reference number and type of return like interest, dividends, capital gain, income distributions
<input type="checkbox"/>	Sale proceeds: Fixed assets	Date of sale and asset type like a sale of fixed property (real estate)
<input type="checkbox"/>	Sale proceeds: Movable assets	Date of sale and asset type like motor vehicle, boat, furniture, jewelry, collections
<input type="checkbox"/>	Inheritance	Name of donor, date of death and relationship to donor
<input type="checkbox"/>	Gift or donation	Name of donor, relationship to donor and reason for gift
<input type="checkbox"/>	Beneficiary of a trust	Name of trust, date of registration
<input type="checkbox"/>	Income earned from import or export business	Name of business and nature of goods
<input type="checkbox"/>	Income earned from royalties	Type of royalty, organisation and specific information
<input type="checkbox"/>	Lottery and casino winnings	Name of organisation and date of winning
<input type="checkbox"/>	Compensation benefit	Name of paying organisation, date of claim payment
<input type="checkbox"/>	Court order	Court name, date of order and type of order like divorce, maintenance
<input type="checkbox"/>	Loan from financial institution	Name of institution and account or reference number
<input type="checkbox"/>	Loan repayment	Name of individual or entity, give more detail
<input type="checkbox"/>	Other loan	Name of individual or entity
<input type="checkbox"/>	Other unearned income	Give more information
<input type="checkbox"/>	Other sources of income	Name of income source, nature of income

Which country is the origin of your source of funds? (ZAF if South Africa)

Payment of the lump sum amount

Read the information below before you choose a payment option.

Electronic transfer
 Collect from bank account

Electronic transfer	We need the electronic funds transfer confirmation sent directly from the bank before we can finalise your investment application. The waiting period on the units that we buy with the transferred amount is seven days. You can only ask for a withdrawal and a unit transfer after seven days.
Collect from bank account	We only collect from a South African bank account, and do not collect from a credit card or a bond account. We will debit your account within two business days after acceptance of your instruction. The waiting period on the units that we buy with the amount we collect is 45 days. You can only ask for a withdrawal and a unit transfer after 45 days. Legislation limits collections to R1 000 000 a day. If we have to collect the investment amount over a number of days, we will invest each collection on different days over this period in the fund(s) you chose. The first collection will be the initial investment amount and we will report the subsequent collections as additional investment amounts. If we cannot verify these details we will need official proof of this account from your bank, not older than three months.
	Name of bank <input type="text"/>
	Branch name <input type="text"/> Branch code <input type="text"/>
	If the branch is not in South Africa, give the country code <input type="text"/>
	Account number <input type="text"/>
	Type of account <input type="checkbox"/> Current/Cheque <input type="checkbox"/> Savings <input type="checkbox"/> Transmission <input type="checkbox"/>
	Collection date <input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> If we cannot collect on the date you chose, we will collect on the next possible date.

2.2 Regular investment

We can only collect the regular investment by debit order. The minimum debit order amount is R250 per fund.

Regular investment amount R every month every quarter every half-year every year

Yearly increase in regular amount , % Month of increase

Collection day of the month First collection date

If we cannot collect on the date you chose, we will collect on the next possible date.

Are you the person paying the regular investment amount? Yes No

If 'No', we need the **Momentum Collective Investments Payer form** from the investment payer.

What is the source of the **regular investment amounts**?

Tell us where this investment amount comes from and give more information. You can choose more than one. The source of the regular amounts is not necessarily the same as the source of the lump sum amount.

<input type="checkbox"/> Business profit	Business profit, name of business
<input type="checkbox"/> Investment proceeds	Name of company, account or reference number and details of the transaction, like maturity benefit, full or part withdrawal, retirement fund benefit
<input type="checkbox"/> Investment return	Name of company, account or reference number and type of return like interest, dividends, capital gain, income distributions
<input type="checkbox"/> Sale proceeds: Fixed assets	Date of sale and asset type like a sale of fixed property (real estate)
<input type="checkbox"/> Sale proceeds: Movable assets	Date of sale and asset type like motor vehicle, boat, furniture, jewelry, collections
<input type="checkbox"/> Sale of entity, shares in entity	Entity detail, date of sale and number of shares sold
<input type="checkbox"/> Inheritance	Name of donor, date of death and relationship to donor
<input type="checkbox"/> Gift or donation	Name of donor, relationship to donor and reason for gift
<input type="checkbox"/> Beneficiary of a trust	Name of trust, date of registration
<input type="checkbox"/> Income earned from import or export business	Name of business and nature of goods
<input type="checkbox"/> Income earned from royalties	Type of royalty, organisation and specific information
<input type="checkbox"/> Lottery and casino winnings	Name of organisation and date of winning
<input type="checkbox"/> Compensation benefit	Name of paying organisation, date of claim payment
<input type="checkbox"/> Court order	Court name, date of order and type of order like divorce, maintenance
<input type="checkbox"/> Loan from financial institution	Name of institution and account or reference number
<input type="checkbox"/> Loan repayment	Name of individual or entity, give more detail
<input type="checkbox"/> Other loan	Name of individual or entity
<input type="checkbox"/> Other unearned income	Give more information
<input type="checkbox"/> Other sources of income	Name of income source, nature of income

Which country is the origin of your source of funds? (ZAF if South Africa)

Payment of the regular investment

If we collect the lump sum from your bank account, do you want to use the same bank account for the regular investment? Yes No

If 'No', complete the section below.

If you choose distributions to be paid out to you, which bank account must we use?

Bank account that is used for the lump sum amount		Bank account that is used for the regular investment amount	
Bank account that is used for the regular withdrawals		Pay to bank account as below	

If we cannot verify these details we will need official proof of this account from your bank, not older than three months.

Name of bank

Branch name Branch code

If the branch is not in South Africa, give the country code

Account number

Type of account Current/Cheque Savings Transmission

2.6 Investment request

The MDDs are part of your investment application. The MDD of each fund has more information about the fund and its fees. Refer to momentum.co.za/collectiveinvestments for the list of available funds.

We will invest in the default retail class of a fund if you do not specify the fee class.

Fund name	Fee class	Lump sum investment minimum of R2 000 in a fund	Regular investment minimum of R250 in a fund	Regular withdrawal minimum of R250 in a fund	Distribution minimum of R250 from a fund Invest or Pay
<input type="text"/>	<input type="text"/>	R <input type="text"/>	R <input type="text"/>	R <input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	R <input type="text"/>	R <input type="text"/>	R <input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	R <input type="text"/>	R <input type="text"/>	R <input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	R <input type="text"/>	R <input type="text"/>	R <input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	R <input type="text"/>	R <input type="text"/>	R <input type="text"/>	<input type="text"/>

2.7 Fees

Fund manager charges:

All fund manager charges that apply to your investment are available on the relevant MDD of the investment fund. You can also ask your financial adviser for more details.

3 Investor declaration

- I confirm that I am authorised to act on behalf of this entity.
- I know that I must get advice during all stages of my investment. My financial adviser and I are responsible for making sure that I receive and understand everything that is relevant to this investment. I accept the risks related to this investment if I refuse advice.
- I confirm that neither Momentum Collective Investments (RF) (Pty) Ltd, nor any of its employees have provided any advice for this investment.
- I have read and understood the amount, frequency, payment method, recipient of the applicable fees, details of the services provided in exchange for each fee, and by signing this application form, I consent to the levying of such fees.
- I will tell you if I change my financial adviser, adviser's fee or the advisory service I receive.
- I am aware that the Financial Intelligence Centre Act (Fica) applies and I will follow its requirements.
- I warrant that, in respect of this investment, I have complied with all money laundering legislation and regulation applicable to South Africa.
- I know my investment is exposed to market risk and its value will fluctuate with market movements. I also understand that investment performance is not guaranteed.
- I hereby indemnify and do not hold you liable for any and all claims, losses and/or damages whether indirect, special or consequential and/or any expense of any nature whatsoever that I may incur or suffer as a result of the independent decision to invest in this particular fund/product.
- I understand and accept:
 - your timelines, business practices and administrative processes;
 - that you can share my personal information within your holding company, its subsidiaries and contracted service providers in order to administer my investment and provide me with financial wellness information.
- I will not hold you responsible for any loss as a result of an application form received that was not clear, not correct or where all information was not completed.
- I hold no other citizenships and residencies for tax purposes, other than those disclosed on this form.
- I acknowledge that you may be required to send the information provided in section 1 to Sars, who may share it with the local tax authority according to the Foreign Account Tax Compliance Act (Fatca) and the Organisation for Economic Co-operation and Development's (OECD's) Common Reporting Standard (CRS).
- I give you permission to collect, store and process the information that I give you, including information that may be lawfully obtained from third parties, so that you can provide this product to me. This could mean that you must share this information with third parties, but that you will only do so where the third party adheres to the relevant laws. I understand that I may withdraw this permission, but that you may be required to continue storing the information. I am fully aware that further details, such as my rights about my personal information and complaints procedures, are available on your website momentum.co.za.

4. Any request to you will be within limits of the signed mandate I have from the investor.
5. I have read this application, [the terms](#), the MDD's and relevant documents that apply to this investment.
6. The information in this application is, to my knowledge true and correct.
7. I will not hold you liable for any loss or damage if the application you receive was changed after I signed it.

Name and surname of financial adviser

Signature of financial adviser

Date

4.4 Investor declaration for adviser fees and appointment

1. I know that my financial adviser must be appropriately licenced with the Financial Sector Conduct Authority (FSCA). If my financial adviser makes decisions for me as a licenced Category II discretionary financial services provider, I instruct you to:

accept requests that my financial adviser signs on my behalf accept requests with my signature only

2. I instruct you to pay my financial adviser the agreed adviser fees from my investment as confirmed in section 4.2. I understand that the chosen adviser fees are negotiated between me and my appointed financial adviser. I understand that you will use the adviser fees for this and any future requests until I give you written instruction that these no longer apply. I cannot hold you liable for acting on the negotiated fees when you follow instructions.
3. I have carefully read this adviser appointment and confirm that the information filled in is true and correct. I will not hold you responsible if the appointment you receive was changed after I signed it.

Name and surname

If not the investor, capacity of signatory

Signed at Date

Authorised signatory

Other signature if required

Contact details

Client contact centre

ShareCall: 0860 111 899, Telephone: +27 (0)12 675 3002, Email: ci.clientservice@momentum.co.za
 Address: 268 West Avenue Centurion 0157, Postal: PO Box 7400 Centurion 0046, Website: momentum.co.za/collectiveinvestments