

Momentum Collective Investments (RF) (Pty) Ltd

Application form for an entity investor

Your application	<ol style="list-style-type: none"> 1. This application, the investment terms and the minimum disclosure documents (MDDs) of each portfolio (fund) are the basis of our agreement. The investment terms and the MDDs are available on momentum.co.za/collectiveinvestments 2. You may not change any part of this form and the terms of this agreement. When you correct any information you have completed, sign next to it. 3. After we have reviewed your application, we will confirm your unique investment number that starts with RU. 4. Banking regulations limit collections to a maximum amount of R2 million. If you want to invest more than R2 million, the only available payment option is an electronic funds transfer (EFT) to our bank account. 5. We will confirm our bank account details if you choose to pay your investment amount to our bank account. Use the RU investment number as the reference number when you make the investment payment. 6. We will finalise your application once your payment reflects in our bank account and all requirements have been met. 7. Complete all the information on the form to ensure that there are no delays in the processing of your investment. 8. Based on the information you provide, we may ask for additional information and documents. 9. If we cannot process any part of this application, we will inform you or your financial adviser.
Guide to completing this form	<p>If the investment payer is not the investor on this application, complete the Individual investment payer details or Entity investment payer details form in addition to this form.</p>
Payment information we may need	<ol style="list-style-type: none"> 1. If we cannot verify the bank details that you provide, we will ask you for official proof of this account not older than three months. 2. If you are paying via an EFT, we will require the proof of payment before we can finalise your application.
Regular withdrawal rules	<ol style="list-style-type: none"> 1. If you withdraw money and the remaining investment value after a withdrawal is less than an amount determined by us from time to time and you do not have an active debit order in place, we may end your investment and pay you the full balance. 2. If we pay your withdrawal amount into your bank account and your payment is returned for any reason, we will reinvest the money into the relevant fund(s). You will carry any risks regarding market movements and will be liable for any costs of the reinvestment. 3. The preferred date of withdrawal indicates the date the instruction will be processed. It can take up to three business days before the money reflects in your bank account.
Fund distributions	<ol style="list-style-type: none"> 1. Fund declare distributions of interest and dividends earned. 2. The MDDs of each fund has details about the fund distribution information. Refer to momentum.co.za/collectiveinvestments 3. You can choose to reinvest these distributions or we can pay it into your bank account. 4. If the distribution value is below R250 in a particular fund, we will reinvest it into the same fund, even if you chose the pay-out option. 5. If you do not choose a pay-out option for your distribution, we will reinvest it in your relevant fund(s).
Foreign Account Tax Compliance Act (FATCA)	<p>The Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) allow for the efficient exchange of information between South Africa and other participating countries. Tax legislation requires us to obtain tax and other information on all persons and entities that have tax obligations outside of South Africa. For more information, refer to page 15 of the application form or visit the South African Revenue Service (SARS) website.</p>

Politically-exposed person or a prominent influential person

The Financial Intelligence Centre Act requires that we know if you are an influential person as explained in the Act.

A politically exposed person is an individual who is or has been entrusted with prominent public functions in South Africa (domestic politically-exposed person) or in a foreign country (foreign politically-exposed person) for example, a senior politician, high ranking member of the military or police force, leader of a foreign political party, any individual who has sole beneficial ownership of a corporate vehicle set up for the actual benefit of the prominent person or an individual who is related to a politically-exposed or prominent influential person.

A prominent influential person is an individual who holds or has held the position of chairperson of the board, chairperson of the audit committee, CEO, or CFO in a company that provides goods and services to the state.

General information

1. You will be able to view your personal, investment information, get your investment statements and access your tax certificates online at momentum.co.za. Your information is secure. Only you and your financial adviser can see your investments with us.
2. We use alphabetical [country codes](#) where needed. You can get a list of the [country codes](#) from SARS.

1 Investor details

1.1 Entity details

Type of entity	<input type="checkbox"/> Close corporation	<input type="checkbox"/> Listed company	<input type="checkbox"/> Non-profit entity	<input type="checkbox"/> Non-taxable entity
	<input type="checkbox"/> Private company	<input type="checkbox"/> Trust	<input type="checkbox"/> Other, give more details	<input type="text"/>

If a trust, provide the trust type, city and province where the trust was registered

Trust type	<input type="text"/>	City	<input type="text"/>	Province	<input type="text"/>
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Refer to the [standard industrial classification](#) available from SARS when you answer the following questions.

What is the main business activity (eg mining of metal ores)?	<input type="text"/>
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What is the entity's standard industrial classification (eg 07 – mining for metal ores)?	<input type="text"/>
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What is the nature of your business?

<input type="checkbox"/> Accommodation and food service activities	<input type="checkbox"/> Administrative and support service activities	<input type="checkbox"/> Agriculture, forestry and fishing
<input type="checkbox"/> Arms, defence, military or security service industries	<input type="checkbox"/> Arts, entertainment and recreation	<input type="checkbox"/> Banks, investment banking, bureau de change, brokerage service
<input type="checkbox"/> Casinos, bookmakers, gambling, adult entertainment	<input type="checkbox"/> Commercial shipping	<input type="checkbox"/> Construction
<input type="checkbox"/> Consumer service activities	<input type="checkbox"/> Dealing in precious stones, metals or luxury goods	<input type="checkbox"/> Education
<input type="checkbox"/> Electricity, gas, steam and air conditioning supply	<input type="checkbox"/> Financial and insurance activities	<input type="checkbox"/> Human health and social work activities
<input type="checkbox"/> Information and communication	<input type="checkbox"/> Internet gaming	<input type="checkbox"/> Manufacturing
<input type="checkbox"/> Mining and quarrying (excl South Africa)	<input type="checkbox"/> Mining and quarrying (South Africa)	<input type="checkbox"/> Professional, scientific and technical services
<input type="checkbox"/> Public administration and compulsory social security	<input type="checkbox"/> Public infrastructure construction	<input type="checkbox"/> Real estate activities
<input type="checkbox"/> Tobacco or cannabis industry	<input type="checkbox"/> Transportation and storage	<input type="checkbox"/> Water supply, sewerage, waste management and remediation activities
<input type="checkbox"/> Wholesale and retail trade and repairs	<input type="checkbox"/> Wildlife trade	
<input type="checkbox"/> Other (specify)	<input type="text"/>	

Name of entity (registered name)	<input type="text"/>
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If listed, give the name of stock exchange where company is listed	<input type="text"/>
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Trading name	<input type="text"/>
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Previous trading name(s)	<input type="text"/>
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If the entity is trading in a foreign country, is the trading name of the entity the same as the entity name above?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
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If 'No', give the trading name of the entity in the foreign country	<input type="text"/>
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Registration/incorporation number	<input type="text"/>	Registration/incorporation date	<input type="text"/>
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Country name of registration/incorporation	<input type="text"/>	Country code	<input type="text"/>
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Physical address	Unit number	<input type="text"/>	Complex	<input type="text"/>
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Street number	<input type="text"/>	Street name/farm	<input type="text"/>
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Suburb/district	<input type="text"/>	City/town	<input type="text"/>
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Postal code	<input type="text"/>	Country code	<input type="text"/>
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1.2 Communication details

Details of the contact person

Title	<input type="text"/>	Initials	<input type="text"/>	Name and surname	<input type="text"/>
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Capacity of the contact person	<input type="text"/>
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Cell phone number	<input type="text"/>	Other	<input type="text"/>
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Email address	<input type="text"/>
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To protect your information and ensure speedy delivery, we will communicate with you using the above email address.

We will publish your investment statements online on your client profile at momentum.co.za. We will contact you electronically when we have published it.

2 Tax details

2.1 Tax residency information

Is the investor a tax resident in South Africa (SA)? Yes ☐ No ☐

If 'Yes', give the SARS tax number

If the investor is also registered for SA value added tax (VAT) give the VAT number

Is SA the investor's primary tax residence country? Yes ☐ No ☐

If the investor's primary tax residence country is not SA or has a foreign tax number, complete the section below.

Tax residence country code	Tax identification number(TIN) or equivalent	Primary tax residence country	Non-TIN registration reason (if applicable)			
<input type="text"/>	<input type="text"/>	<input type="text"/>	<table><tr><td>A</td><td>B</td><td>C</td></tr></table>	A	B	C
A	B	C				
<input type="text"/>	<input type="text"/>	<input type="text"/>	<table><tr><td>A</td><td>B</td><td>C</td></tr></table>	A	B	C
A	B	C				
<input type="text"/>	<input type="text"/>	<input type="text"/>	<table><tr><td>A</td><td>B</td><td>C</td></tr></table>	A	B	C
A	B	C				

The reason for not being TIN registered.

- ☐ A The country/jurisdiction does not issue TINs to its residents (**does not apply to South Africa or the United States**)
- ☐ B The investor is not required to be registered for tax (even though income tax is levied by the jurisdiction)
- ☐ C TIN is not required to be reported by such jurisdiction under local law (**does not apply to South Africa or the United States**)

2.2 Tax exemption details

Dividend withholding tax

We must withhold dividend withholding tax on South African dividends we receive for your investment. SARS allows certain investors to be exempt, according to section 64F, 64H(2)(b) or 64H(3)(b)(i) of the Income Tax Act.

Do you qualify for this exemption? Yes ☐ No ☐

If 'Yes', choose the reasons from the list below.

- ☐ Section 64F(1)(a) – a company that is resident in South Africa.
- ☐ Section 64F(1)(b) – the Government of the Republic of South Africa in the national, provincial or local sphere.
- ☐ Section 64F(1)(c) – a public benefit organisation (approved by Sars according to section 30(3) of the Act).
- ☐ Section 64F(1)(d) – a trust according to section 37A of the Act (mining rehabilitation trusts).
- ☐ Section 64F(1)(e) – an institution, body, or board according to section 10(1)(cA) of the Act.
- ☐ Section 64F(1)(f) – a fund according to section 10(1)(d)(i) or (ii) of the Act (pension fund, pension preservation fund, provident fund, provident preservation fund, retirement annuity fund, beneficiary fund or benefit fund).
- ☐ Section 64F(1)(g) – a person according to section 10(1)(f) of the Act (CSIR, SANRAL, etc).
- ☐ Section 64F(1)(i) – a small business funding entity according to section 10(1)(cQ).
- ☐ Section 64F(1)(j) – a person that is not a resident and the dividend is a dividend contemplated in paragraph (b) of the definition of "dividend" in section 64D.
- ☐ Section 64F(1)(l) - any person to the extent that the dividend constitutes income of that person.
- Note: if the investor is a tax resident in South Africa, the investor qualifies for this exemption on certain dividends (eg Real Estate Investment Trust (REIT)) and must select it to avoid double taxation.**
- ☐ Section 64F(1)(n) – any fidelity or indemnity fund according to section 10(1)(d)(iii).
- ☐ Section 64F(1)(2)(b) – distribution to another regulated intermediary.

SARS exemption code	A
SARS exemption code	B
SARS exemption code	C
SARS exemption code	D
SARS exemption code	E
SARS exemption code	F
SARS exemption code	G
SARS exemption code	I
SARS exemption code	J
SARS exemption code	L
SARS exemption code	N
SARS exemption code	PT

SARS also allows for certain investors to qualify for a reduced dividend withholding tax rate based on Double Taxation Agreements.

Do you qualify for a reduced rate? Yes ☐ No ☐

The requirements of Article of the Agreement for the Avoidance of Double Taxation and Prevention of Fiscal Evasion (DTA) in force between the Republic of South Africa and the investor's country of tax residence and sections 64FA, 64G or 64H of the South African Income Tax Act 58 of 1962 have been met. Dividends must be taxed at a reduced rate of . %

Interest withholding tax

We must withhold interest withholding tax for foreign investors on interest received from a South African source that is subject to interest withholding tax. SARS, allows certain investors to be exempt according to section 50D(3) of the Income Tax Act.

Do you qualify for a reduced rate? Yes ☐ No ☐

If 'Yes', choose the reasons from the list below.

- ☐ 50D(3)(a) – the beneficial owner of the investment is a foreign natural person who was physically present in the Republic of South Africa for a period exceeding 183 days in aggregate during the twelve month period preceding the date on which the interest is paid.
- ☐ 50D(3)(b) – the debt claim for which that interest is paid is effectively connected with a permanent establishment of that foreign person in the Republic, if that foreign person is registered as a taxpayer in terms of Chapter 3 of the Tax Administration Act.

SARS also allows for certain investors to qualify for a reduced interest withholding tax rate based on Double Taxation Agreements.

Do you qualify for this exemption? Yes ☐ No ☐

The requirements of Article of the Agreement for the Avoidance of Double Taxation and Prevention of Fiscal Evasion (DTA) in force between the Republic of South Africa and the investor's country of tax residence and sections 50E(3) of the South African Income Tax Act 58 of 1962 have been met. Interest, which is subject to interest withholding tax, must be taxed at a reduced rate of . %

The tax exemption or reduced rate reasons selected in Section 2.2 of this application are valid for a period of five years from completion date. The **Dividends Withholding Tax Renewal** and/or **Interest Withholding Tax Renewal** form must be completed every five years to ensure that your tax exemption details are kept up to date.

2.3 Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) classification

2.3.1 Investor's classification

For more information when completing this section, refer to [FATCA/CRS classifications](#) on page 15.

Indicate the investor's classification.

Financial Institution (FI)/Foreign Financial institution (FFI)

- | | |
|---|---|
| <input type="checkbox"/> Certified deemed compliant FI/FFI | <input type="checkbox"/> Exempt Beneficial Owner |
| <input type="checkbox"/> Non-participating FI/FFI | <input type="checkbox"/> Non-reporting FI/FFI |
| <input type="checkbox"/> Registered deemed compliant FI/FFI (If selected, complete section 2.3.2) | <input type="checkbox"/> Reporting FI/FFI (If selected, complete section 2.3.2) |
| <input type="checkbox"/> Sponsored FI/FFI (If selected, complete section 2.3.2 and 2.3.3) | |
| <input type="checkbox"/> Other FI/FFI, give more details | <input type="text"/> |

Non-financial Entity (NFE)/Non-foreign Financial Entity (NFFE)

- | | |
|--|---|
| <input type="checkbox"/> Active NFE/NFFE (If selected, complete section 2.3.3) | <input type="checkbox"/> Passive NFE/NFFE (If selected, complete section 2.3.3) |
| <input type="checkbox"/> Sponsored/direct reporting NFE/NFFE (If selected, complete section 2.3.2 and 2.3.3) | |

2.3.2 Global Intermediary Identification Number (GIIN)

Give the investor's GIIN, if the investor is a:

- registered deemed compliant FI/FFI;
- reporting FI/FFI;
- sponsored by a sponsoring FI/FFI;
- sponsored by a sponsoring NFE/NFFE; or
- a direct reporting NFE/NFFE.

Investor GIIN

If the investor cannot provide a GIIN, declare that the financial institution is in the process of obtaining a GIIN and will provide it when obtained, by ticking this box ☐

If the investor is sponsored by a sponsoring FI/FFI or sponsoring NFE/NFFE, provide the name of the sponsoring entity and their GIIN.

Sponsoring FI/FFI registered name	<input type="text"/>
GIIN	<input type="text"/>
Sponsoring NFE/NFFE registered name	<input type="text"/>
GIIN	<input type="text"/>

If the investor cannot provide a GIIN, declare that the financial institution is in the process of obtaining a GIIN and will provide it when obtained, by ticking this box ☐

2.3.3 Number of substantial owners/controllers/representatives

How many substantial owners, controlling persons or representatives does the entity have?
Complete sections 2.4 and 2.5 per individual/entity identified.

2.4 Substantial owner/controller persons details

If you have more owners/controllers persons, make a copy of this section, sign and attach it to this application form.

Title	<input type="text"/>	Initials	<input type="text"/>	Name and surname	<input type="text"/>
ID/Passport number(if foreign national)	<input type="text"/>	Date of birth	<input type="text"/>	<input type="text"/>	<input type="text"/>
Passport country of issue	<input type="text"/>	Country of birth	<input type="text"/>		
Nationality	<input type="text"/>	Capacity/role	<input type="text"/>		
Percentage holding (if applicable)	<input type="text"/>	Appointment date	<input type="text"/>	<input type="text"/>	<input type="text"/>
Physical address	Unit number	<input type="text"/>	Complex	<input type="text"/>	
	Street number	<input type="text"/>	Street name/farm	<input type="text"/>	
	Suburb/district	<input type="text"/>	City/town	<input type="text"/>	
	Postal code	<input type="text"/>	Country code	<input type="text"/>	<input type="text"/>

Indicate which of the following applies to you:

	You are a	You are a family member of or known close associate to a
Domestic politically-exposed person	<input type="checkbox"/>	<input type="checkbox"/>
Foreign politically-exposed person	<input type="checkbox"/>	<input type="checkbox"/>
Prominent influential person	<input type="checkbox"/>	<input type="checkbox"/>
None of the above	<input type="checkbox"/>	

If you are a politically-exposed or prominent influential person, provide the position held

If you are a family member or known close associate to a politically-exposed or prominent influential person, provide their details below:

Name Surname Position held

Provide tax residence country and TIN details below.

Tax residence country code	Tax identification number(TIN) or Equivalent	Primary tax residence country	Non-TIN registration reason (if applicable)
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

If you do not have a TIN, select the reason above for not being registered. Refer to [section 2.1](#) for more details on non-registration reasons.

2.5 Entity representative details

We need to identify all persons who ultimately hold a material interest in the entity, including persons appointed to do transactions with us on behalf of the entity. List these persons and give their details with their capacities below. We will need a **Personal details declaration and self-certification** form for any representative whose details are not on the list.

If you have more representatives, make a copy of this section, sign and attach it to this application form.

The trustee(s), active member(s), manager(s), chief executive officer or managing director

Title	<input type="text"/>	Initials	<input type="text"/>	Name and surname	<input type="text"/>
ID/Passport number(if foreign national)	<input type="text"/>			Date of birth	<input type="text"/>
Passport country of issue	<input type="text"/>			Country of birth	<input type="text"/>
Nationality	<input type="text"/>			Capacity/role	<input type="text"/>
Percentage holding (if applicable)	<input type="text"/>	<input type="text"/>	<input type="text"/>	Appointment date	<input type="text"/>
Physical address	Unit number	<input type="text"/>	Complex	<input type="text"/>	
	Street number	<input type="text"/>	Street name/farm	<input type="text"/>	
	Suburb/district	<input type="text"/>	City/town	<input type="text"/>	
	Postal code	<input type="text"/>	Country code	<input type="text"/>	

Indicate which of the following applies to you:

You are a	You are a family member of or known close associate to a
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>

If you are a politically-exposed or prominent influential person, provide the position held

If you are a family member or known close associate to a politically-exposed or prominent influential person, provide their details below:

Name	<input type="text"/>	Surname	<input type="text"/>	Position held	<input type="text"/>
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Provide tax residence country and TIN details below.

Tax residence country code	Tax identification number(TIN) or Equivalent	Primary tax residence country	Non-TIN registration reason (if applicable)
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

If the representative does not have a TIN, select the reason above for not being registered. Refer to [section 2.1](#) for more details on non-registration reasons.

Founders of the trust (if a trust)

Title	<input type="text"/>	Initials	<input type="text"/>	Name and surname	<input type="text"/>
ID/Passport number(if foreign national)	<input type="text"/>			Date of birth	<input type="text"/>
Passport country of issue	<input type="text"/>			Country of birth	<input type="text"/>
Nationality	<input type="text"/>			Capacity/role	<input type="text"/>
Percentage holding (if applicable)	<input type="text"/>	<input type="text"/>	<input type="text"/>	Appointment date	<input type="text"/>
Physical address	Unit number	<input type="text"/>	Complex	<input type="text"/>	
	Street number	<input type="text"/>	Street name/farm	<input type="text"/>	
	Suburb/district	<input type="text"/>	City/town	<input type="text"/>	
	Postal code	<input type="text"/>	Country code	<input type="text"/>	

Indicate which of the following applies to you:

You are a	You are a family member of or known close associate to a
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>

If you are a politically-exposed or prominent influential person, provide the position held

If you are a family member or known close associate to a politically-exposed or prominent influential person, provide their details below:

Name	<input type="text"/>	Surname	<input type="text"/>	Position held	<input type="text"/>
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Tax residence country code	Tax identification number(TIN) or Equivalent	Primary tax residence country	Non-TIN registration reason (if applicable)
<div><div></div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div></div>	<div><div></div></div>	<div><div>A</div><div>B</div><div>C</div></div>

If a foreign registered entity, the managers in South Africa that look after the entity's affairs

Title	<input type="text"/>	Initials	<input type="text"/>	Name and surname	<input type="text"/>
ID/Passport number(if foreign national)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	Date of birth
Passport country of issue	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Nationality	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	Capacity/role
Percentage holding (if applicable)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	Appointment date
Physical address	Unit number	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Street number	<input type="text"/>	<input type="text"/>	<input type="text"/>	Street name/farm
	Suburb/district	<input type="text"/>	<input type="text"/>	<input type="text"/>	City/town
	Postal code	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
		<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Indicate which of the following applies to you:	You are a	You are a family member of or known close associate to a
Domestic politically-exposed person	<input type="checkbox"/>	<input type="checkbox"/>
Foreign politically-exposed person	<input type="checkbox"/>	<input type="checkbox"/>
Prominent influential person	<input type="checkbox"/>	<input type="checkbox"/>
None of the above	<input type="checkbox"/>	

If you are a family member or known close associate to a politically-exposed or prominent influential person, provide their details below:

Name Surname Position held

Tax residence country code	Tax identification number (TIN) or Equivalent	Primary tax residence country	Non-TIN registration reason (if applicable)
<div><div></div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div></div>	<div><div></div></div>	<div><div>A</div><div>B</div><div>C</div></div>

Trust beneficiaries or the shareholders (according to the latest financial statements)

Title	<input type="text"/>	Initials	<input type="text"/>	Name and surname	<input type="text"/>
ID/Passport number(if foreign national)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	Date of birth
Passport country of issue	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Nationality	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	Capacity/role
Percentage holding (if applicable)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	Appointment date
Physical address	Unit number	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Street number	<input type="text"/>	<input type="text"/>	<input type="text"/>	Street name/farm
	Suburb/district	<input type="text"/>	<input type="text"/>	<input type="text"/>	City/town
	Postal code	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Indicate which of the following applies to you:	You are a	You are a family member of or known close associate to a
Domestic politically-exposed person	<input type="checkbox"/>	<input type="checkbox"/>
Foreign politically-exposed person	<input type="checkbox"/>	<input type="checkbox"/>
Prominent influential person	<input type="checkbox"/>	<input type="checkbox"/>
None of the above	<input type="checkbox"/>	

If you are a politically-exposed or prominent influential person, provide the position held

If you are a family member or known close associate to a politically-exposed or prominent influential person, provide their details below:

Name Surname Position held

Provide tax residence country and TIN details below.

Tax residence
country code

Tax identification
number(TIN) or Equivalent

Primary tax residence
country

Non-TIN registration
reason (if applicable)

If the representative does not have a TIN, select the reason above for not being registered. Refer to [section 2.1](#) for more details on non-registration reasons.

Natural persons or legal entities entitled to exercise 25% or more of the voting rights at general meetings

Title	<input type="text"/>	Initials	<input type="text"/>	First name(s)	<input type="text"/>
Name and surname/name of entity	<input type="text"/>				
ID/Registration number (passport number if foreign national)	<input type="text"/>			Date of birth/ registration date	<input type="text"/>
Passport country of issue	<input type="text"/>				
Nationality	<input type="text"/>			Primary tax residence country registration/incorporation	<input type="text"/>
Capacity/role	<input type="text"/>			Percentage holding (if applicable)	<input type="text"/> %
Physical address	Unit number	<input type="text"/>	Complex	<input type="text"/>	
	Street number	<input type="text"/>	Street name/farm	<input type="text"/>	
	Suburb/district	<input type="text"/>		City/town	<input type="text"/>
	Postal code	<input type="text"/>		Country code	<input type="text"/>

Natural persons

Indicate which of the following applies to you:

Domestic politically-exposed person

You are a

You are a family member of or known close associate to a

Foreign politically-exposed person

Prominent influential person

None of the above

If you are a politically-exposed or prominent influential person, provide the position held

If you are a family member or known close associate to a politically-exposed or prominent influential person, provide their details below:

Name Surname Position held

Provide tax residence country and TIN details below.

Tax residence
country code

Tax identification
number(TIN) or Equivalent

Primary tax residence
country

Non-TIN registration
reason (if applicable)

If the representative does not have a TIN, select the reason above for not being registered. Refer to [section 2.1](#) for more details on non-registration reasons.

3 Source of funds, lump sum and/or regular investment

Tell us where the investment money comes from. You may indicate more than one source:

<input type="checkbox"/> Salary/royalties	<input type="checkbox"/> Savings	<input type="checkbox"/> Inheritance/compensation/divorce settlement
<input type="checkbox"/> Sale of investment/property	<input type="checkbox"/> Import/export business	<input type="checkbox"/> Business activities/sale of business
<input type="checkbox"/> Policy as a beneficiary	<input type="checkbox"/> Policy benefit (matured/as a claim/replacement)	<input type="checkbox"/> Retirement fund (member or beneficiary) proceeds
<input type="checkbox"/> Trust	<input type="checkbox"/> Sale of other assets/donation/gift	<input type="checkbox"/> Loan
<input type="checkbox"/> Winnings (ie. Lotto, casino, etc)	<input type="checkbox"/> Other (specify)	<input type="text"/>

Which country is the origin of your source of funds?

4.3 Unit transfer

Momentum Collective Investments (MCI) will transfer the instructed units within two business days and record the transaction for the effective date on which we transferred the units at that date's unit price.

Give the MCI investment numbers that you are transferring units from.

RU

RU

RU

5 Regular withdrawals

If you would like to receive a regular withdrawal from this investment, complete this section.

Sell units to the value of R every month ☐ every quarter ☐ every half-year ☐ every year ☐

Yearly increase in regular withdrawals R Month of increase

Withdrawal day of the month First date of withdrawal

Into which bank account must we pay your regular withdrawal amounts?

Bank account that is used for the lump sum amount ☐ Bank account that is used for the regular investment amount ☐

Bank account below

Complete the entity's South African bank account details below. We do not pay to a credit card or a bond account.

Bank name Account number

Type of account Current/cheque ☐ Savings ☐

☐ Split my withdrawal proportionately from all funds on my investment

☐ Split my withdrawal as specified in section 7

6 Fund distribution

You can choose to reinvest these distributions or we can pay into your bank account.

If you choose distributions to be paid out to you, which bank account must we use?

Bank account that is used for the lump sum amount ☐ Bank account that is used for the regular investment amount ☐

Bank account that is used for the regular withdrawals ☐ Bank account below

Complete the entity's South African bank account details below. We do not pay to a credit card or a bond account.

Bank name Account number

Type of account Current/cheque ☐ Savings ☐

7 Investment instruction

The MDD of each fund has more information about the fund and its fees. Refer to momentum.co.za/collectiveinvestments

We will invest in the default retail class of a fund if you do not specify the fee class.

Fund name	Fee class	Lump sum amount	Regular amount	Regular withdrawal	Fund distribution Invest or Pay
<input type="text"/>	<input type="text"/>	R <input type="text"/>	R <input type="text"/>	R <input type="text"/>	<input type="checkbox"/> or <input type="checkbox"/>
<input type="text"/>	<input type="text"/>	R <input type="text"/>	R <input type="text"/>	R <input type="text"/>	<input type="checkbox"/> or <input type="checkbox"/>
<input type="text"/>	<input type="text"/>	R <input type="text"/>	R <input type="text"/>	R <input type="text"/>	<input type="checkbox"/> or <input type="checkbox"/>
<input type="text"/>	<input type="text"/>	R <input type="text"/>	R <input type="text"/>	R <input type="text"/>	<input type="checkbox"/> or <input type="checkbox"/>
<input type="text"/>	<input type="text"/>	R <input type="text"/>	R <input type="text"/>	R <input type="text"/>	<input type="checkbox"/> or <input type="checkbox"/>

8 Fees

Fund manager charges

All fund manager charges that apply to your investment are available on the relevant MDD of the investment fund. You can also ask your financial adviser for more details.

Financial adviser fees

We calculate the ongoing adviser fee using the total fund value every month. Refer to the table below for maximum permissible combinations of initial and ongoing adviser fees.

We will add VAT to the following fee percentage if the financial adviser fee is subject to VAT.

Maximum adviser fees are subject to restrictions shown in the MDDs.

Initial fee (excl VAT)	with a	ongoing fee (excl VAT)
0 – 0.9%	and	1.00%
1% – 1.9%	and	0.80%
2% – 2.9%	and	0.60%
3%	and	0.50%

Pay my financial adviser: Initial adviser fee (excl VAT) . %
Ongoing adviser fee (excl VAT) . % per year

9 Investor declaration

- I confirm that I am authorised to act on behalf of this entity.
- I know that I must get advice during all stages of our investment. Our financial adviser and I are responsible for making sure that we receive and understand everything that is relevant to this investment. I accept the risks related to this investment if I refuse advice.
- I confirm that neither MCI, nor any of its employees have provided any advice for this investment.
- I acknowledge that investment performance is not guaranteed and if the investment is exposed to market risk, its value may fluctuate.
- I hereby authorise MCI to collect payment from the entity's bank account as specified, at the above-mentioned bank.
- If the entity reverse a collection instruction at the bank after financial instruments have been purchased on our behalf, the cancelled collection will not constitute a valid contribution and the entity may be held liable for any losses MCI may incur.
- If the entity is an investment payer and also make regular withdrawals, the entity agrees that for this investment MCI:
 - can check the details with the bank; and
 - can collect money from the bank account.
- I am the authorised representative to sign for the bank account(s).
- I understand and accept:
 - your timelines, business practices and administrative processes;
 - that you can invest an amount when you have collected it or once a deposit reflects in your bank account; and
 - that you can share personal information (as this term is defined in the Protection of Personal Information Act) within your holding company, its subsidiaries and contracted service providers in order to administer this investment and give the entity information to help them on their journey to success.
- I have carefully read this application form after it has been filled in and understand the details about the investment amount, frequency, payment method, recipient of the applicable fees, and the services provided in exchange for each fee. By signing this application form, I consent to the levying of such fees
- I acknowledge that you may be required to send the information I provided in this form to SARS, who may share it with the local tax authority according to the Foreign Account Tax Compliance Act (FATCA) and the Organisation for Economic Co-operation and Development's (OECD) Common Reporting Standard (CRS).
- I consent to MCI collecting, collating and storing the information provided by the entity as well as information that may be lawfully obtained from third parties. I confirm that I understand that MCI requires the information for the purpose of providing the product referred to in this application form, including ancillary benefits thereto. I consent to the sharing of the information with any third party that is required to obtain the information in order to provide the product and/or ancillary benefit to the entity on condition that any such third party adheres to the laws governing the confidentiality of personal information. I understand and acknowledge that I may withdraw the consent herein at any time, although such withdrawal may not necessarily result in the deletion of the information, as MCI may have to continue collecting, collating and storing the information as may be required of it by law. I am aware that further details of our rights in relation to personal and entity information, including the use and protection of the information, complaints and escalation procedures, are available on Momentum's website on momentum.co.za
- I will let you know if any of the information I gave you changes.

Investor declaration for adviser fees and appointment

- I know that our financial adviser must be appropriately licenced under the Financial Advisory and Intermediary Services (FAIS) Act. If our financial adviser makes decisions for us as a licenced Category II discretionary financial services provider, I instruct you to:

☐ accept instructions that the financial adviser signs on our behalf ☐ accept instructions with the authorised signatory signature only

15. I understand that the chosen adviser fees are negotiated between us and our appointed financial adviser and I instruct you to pay our financial adviser the agreed adviser fees from our investment as confirmed in section 8. I understand that you will use the adviser fees for this and any future instructions until the entity give you written instruction that these no longer apply. I cannot hold you liable for acting on the negotiated fees when you follow instructions.
16. I have carefully read the adviser appointment and confirm that the information filled in is true and correct. I will not hold you responsible if the appointment you received was changed without our consent or knowledge after I signed it.

I/We have carefully read, and I/We understand and accept this application, the terms, fee schedules, minimum disclosure documents and marketing material that apply to this investment. The information I/We have completed is true and correct. I/We will not hold you liable for any loss or damage if the application you received was changed without my/our consent or knowledge after I/we signed it.

Authorised signatory

Name and surname	<input type="text"/>	Capacity of signatory	<input type="text"/>
Signed at	<input type="text"/>	Date	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>
Authorised signatory	<input type="text"/>		

Other signatory (if required)

Name and surname	<input type="text"/>	Capacity of signatory	<input type="text"/>
Signed at	<input type="text"/>	Date	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>
Other signature	<input type="text"/>		

10 Financial adviser appointment

10.1 Financial adviser details

Servicing financial adviser (for FAIS product licence compliance checks)

Name and surname	<input type="text"/>		
Financial adviser code	<input type="text"/>		
FSP name	<input type="text"/>		
FSP code	<input type="text"/>		
Momentum consultant code	<input type="text"/>		
Fee reference	<input type="text"/>		
Initial adviser fee (excl VAT)	<input type="text" value="0"/> . <input type="text" value="0"/> <input type="text" value="0"/> <input type="text" value="0"/> %	Ongoing adviser fee (excl VAT)	<input type="text" value="0"/> . <input type="text" value="0"/> <input type="text" value="0"/> <input type="text" value="0"/> %

Additional advisers

	Additional adviser 1	Additional adviser 2
Name and surname	<input type="text"/>	<input type="text"/>
Financial adviser code	<input type="text"/>	<input type="text"/>
FSP name	<input type="text"/>	<input type="text"/>
FSP code	<input type="text"/>	<input type="text"/>
Momentum consultant code	<input type="text"/>	<input type="text"/>
Fee reference	<input type="text"/>	<input type="text"/>
Initial adviser fee (excl VAT)	<input type="text" value="0"/> . <input type="text" value="0"/> <input type="text" value="0"/> <input type="text" value="0"/> %	<input type="text" value="0"/> . <input type="text" value="0"/> <input type="text" value="0"/> <input type="text" value="0"/> %
Ongoing adviser fee (excl VAT)	<input type="text" value="0"/> . <input type="text" value="0"/> <input type="text" value="0"/> <input type="text" value="0"/> %	<input type="text" value="0"/> . <input type="text" value="0"/> <input type="text" value="0"/> <input type="text" value="0"/> %

Cell phone number

[illegible]

Email address

1. I am a registered financial adviser, duly licensed for the relevant categories and sub-categories applicable to this transaction.
2. I provided and explained all documents, fees and charges that apply to this investment before this form was signed.
3. In addition to intermediary services, I have also provided:

☐ advice (I have a FAIS Category I licence)

☐ discretionary investment management (I have a FAIS Category II licence)
4. Any instruction I give you you will be within the limits of the attached mandate I have from the investor.
5. I have read this application, the terms, the fee and benefit proposal, fee schedule and marketing material that apply to this investment.
6. The information on this form is to my knowledge, true and correct.
7. I will not hold you liable for any loss or damage if this application was changed without my consent or knowledge after I signed it.

Signed at

Date

D	D	M	M	Y	Y	Y	Y
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Signature of financial adviser

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Contact details

ShareCall: 0860 111 899, Telephone: +27 (0)12 675 3002, Email: ci.clientservice@momentum.co.za
Address: 268 West Avenue Centurion 0157, Postal: PO Box 7400 Centurion 0046, Website: momentum.co.za/collectiveinvestments

Momentum Collective Investments (RF) (Pty) Ltd is an approved CISC management company



FATCA/CRS classifications

Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) classification

The following definitions and explanations can help you complete the tax information section of this form. If you have any questions about your entity's classification or need more information, contact your tax practitioner or visit the [SARS website](#).

Certified deemed compliant financial institution

A certified deemed-compliant financial institution (FI/FFI) is an FI/FFI that has certified its status as a deemed-compliant FI/FFI by providing the required documentation to a withholding agent.

A deemed-compliant FI/FFI is an FI/FFI that is exempt from the reporting and withholding requirements of FATCA.

Examples of deemed compliant FIs/FFIs

- Non-registering local bank
- FFIs with only low value accounts/sponsored
- Closely held investment vehicles
- Limited life debt investment entities
- Investment advisors and investment managers
- Local FFIs
- Non-reporting member/s of participating FFI Group

Exempt beneficial owner

An exempt beneficial owner refers to a person or entity that is not required to report beneficial ownership information. Some examples of exempt beneficial owners include:

- Publicly traded companies meeting specified requirements
- Many non-profits
- Certain large operating companies
- Foreign governments and their agencies
- International organisations
- Certain foreign retirement funds
- Entities wholly owned by other exempt beneficial owners

Examples of exempt beneficial owners

- Government entities
- International organisations
- Central banks
- Treaty qualified retirement funds (SA only)
- Broad participation retirement funds; or narrow participation retirement funds

Reporting financial institution

Is a financial institution subject to due diligence and reporting obligations pursuant to CRS Rules. The term is used in the context of FATCA and refers to any member state financial institution that is not a non-reporting financial institution.

Examples of reporting FIs/FFIs

- Participating FI/FFI (in a non-inter governmental agreement country (IGA))
- Reporting model 1 (IGA) FI/FFI
- Reporting model 2 (IGA) FI/FFI
- Sponsoring FI/FFI

Registered deemed compliant financial institution

A registered deemed-compliant financial institution is a non-reporting financial institution that has been issued a global intermediary identification number (GIIN).

Non-reporting financial institution

A non-reporting financial institution is a financial institution that is not required to report information to tax authorities. Examples of non-reporting financial institutions include the following:

- Governmental entities
- International organisations
- Central banks
- Certain types of retirement funds

An entity may also be classified as a non-reporting financial institution if it presents a low risk of being used to evade tax and has substantially similar characteristics to any of the entities described above.

Examples of non-reporting FIs/FFIs

- Sponsored FI/FFI
- FI/FFIs with a local client base
- Qualified credit card issuers
- Trustee-documented trusts
- Collective investment vehicles

Non-participating financial institution

Is a financial institution that has not agreed to comply with the due diligence requirements of FATCA and has not registered on the US internal revenue service portal.

Sponsored financial institution

Is a FI/FFI that is an investment entity or a controlled foreign corporation which has a sponsoring entity performing FATCA due diligence, withholding, and reporting obligations on its behalf.

Active NFEs/NFFE

An active non-financial entity (active NFE)/ active non-foreign financial entity (NFFE) is an entity that operates an active trade or business with less than 50% passive income (gross) or has less than 50% assets that produce passive income.

An active NFE/NFFE is classified as such if any of the following criteria are met:

- Less than 50% of the NFE's/NFFE's gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50% of the assets held by the NFE/NFFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income **or**
- The stock of the NFE/NFFE is regularly traded on an established securities market or the NFE is a related entity of an entity the stock of which is regularly traded on an established securities market **or**
- The NFE/NFFE is a governmental entity, an international organisation, a central bank, or an entity wholly owned by one or more of the foregoing.

Examples of active NFEs/NFFEs

- A corporation the stock of which is regularly traded on an established securities market
- A corporation which is a related entity of such a corporation;
- Government entities
- International organisations
- Central banks
- Treaty qualified retirement funds (SA only)
- Broad participation retirement funds or narrow participation retirement funds

Passive NFEs/NFFEs

- More than 50% of its income is passive (eg dividends, interest, and royalties)
- More than 50% of its assets are in the form of passive investments
- It does not engage in active trading activities

Sponsored NFEs/NFFEs

A NFE/NFFE is a sponsored direct reporting NFE/NFFE if the NFE is a direct reporting NFE/NFFE and if another entity, other than a non-participating FFI, has agreed with the NFE/NFFE to act as its sponsoring entity.

Direct reporting NFEs/NFFEs

Is a non-financial foreign entity that has elected to report its substantial U.S. owners to the IRS.

Definitions of authorised bodies

- Substantial ownership is defined as any person who owns, directly or indirectly a 10% or greater interest in the entity.
- Controlling persons are the natural persons who exercise control over the entity.
- A representative is someone who represents the entity.