

Momentum Collective Investments (RF) (Pty)Ltd

Third-party investment payer details

Your instruction	<div><div>1. Complete this form if the bank account holder and the investment payer is not the investment owner.</div><div>2. Banking regulations limit collections to a maximum amount of R2 million. If you want to invest more than R2 million, the only available payment option is an electronic funds transfer (EFT) to our bank account.</div><div>3. Based on the information you provide, we may ask for additional information and documents.</div><div>4. We will finalise your instruction once your payment reflects in our bank account and all requirements have been met.</div><div>5. We will confirm our bank account details if you choose to pay your investment amount to our bank account. Use the RU investment number as the reference number when you make the payment.</div><div>6. You may not change any part of this form. When you correct any information you have completed, sign next to it.</div><div>7. If we cannot process any part of this instruction, we will inform you or your financial adviser.</div></div>
Payment information we may need	<div><div>1. If we cannot verify the bank details that you provide, we will ask you for official proof of this account, not older than three months.</div><div>2. If you are paying via an EFT, we will require the proof of payment before we can finalise an instruction.</div></div>
Cut-off times	<div><div>1. Please note that if an instruction and documents received are in order, the following cut-off times apply:<div><div>For money market funds the daily cut-off time is 10:00.</div><div>For all other funds the daily cut-off time is 14:00.</div></div></div><div>2. If we cannot process the transaction on the same day, it will take place on the next business day or at the earliest possible opportunity according to legislation, our administration processes and any other unit trust limitations. We will determine the fund's price at the confirmed day's net asset value (NAV) unit price.</div></div>
Politically-exposed or a prominent influential person	<div><div>The Financial Intelligence Centre Act (FICA) requires that we know if you are an influential person as explained in the Act.</div><div>A politically-exposed person is an individual who is or has been entrusted with prominent public functions for example, a senior politician, high ranking member of the military or police force, leader of a foreign political party, any individual who has sole beneficial ownership of a corporate vehicle set up for the actual benefit of the prominent person or an individual who is related to a politically-exposed or prominent influential person.</div></div>
General information	<div><div>1. We can only collect from a South African bank account.</div><div>2. Two days notice is required to change or cancel a regular investment.</div><div>3. If we collect the investment amount, you can only ask for a withdrawal or refund after 45 days.</div><div>4. We may cancel a regular investment collection if a transaction cannot be completed due to two consecutive unpaid regular investment amount.</div><div>5. If your bank rejects your investment payment, you will carry any risks regarding market movements and will be liable for any costs incurred.</div></div>

## 1 Investment payer details

### 1.1 Personal/entity details

Type of payer	<input type="checkbox"/> Individual	<input type="checkbox"/> Trust	<input type="checkbox"/> Close corporation	<input type="checkbox"/> Non-profit entity																
	<input type="checkbox"/> Non-taxable entity	<input type="checkbox"/> Private company	<input type="checkbox"/> Listed company																	
	<input type="checkbox"/> Other, give more details <input type="text"/>																			
Trust type	<input type="text"/>																			
If a trust, the city and province where the trust was registered	City	<input type="text"/>	Province	<input type="text"/>																
Title	<input type="text"/>	Initials	<input type="text"/>	First name(s) <input type="text"/>																
Surname/ name of entity (registered name)	<input type="text"/>																			
Previous surname(s)/trading name(s)	<input type="text"/>																			
ID number/registration number (passport number if foreign national)	<input type="text"/>																			
Date of birth/registration date	<table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	Expiry date of passport	<table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	
D	D	M	M	Y	Y	Y	Y													
D	D	M	M	Y	Y	Y	Y													
Passport country of issue	<input type="text"/>	Nationality	<input type="text"/>																	
Country of birth/registration	<input type="text"/>	Citizenship	<input type="text"/>																	
Country of residence	<input type="text"/>																			
Physical address	Unit number	<table border="1"><tr><td></td><td></td><td></td><td></td></tr></table>					Complex	<input type="text"/>												
	Street number	<table border="1"><tr><td></td><td></td><td></td><td></td></tr></table>					Street name/Farm	<input type="text"/>												
	Suburb/District	<input type="text"/>		City/town <input type="text"/>																
	Postal code	<table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>									Country code	<table border="1"><tr><td>Z</td><td>A</td><td>F</td></tr></table> (ZAF if South Africa)	Z	A	F					
Z	A	F																		

### 1.2 Communication details

Title	<input type="text"/>	Initials	<input type="text"/>	Name and surname	<input type="text"/>																												
Capacity of the contact person (if an entity)	<input type="text"/>																																
Cell phone number	<table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>																Other	<table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>															
Email address	<input type="text"/>																																

To protect your information and ensure speedy delivery, we will communicate with you electronically.

## 2 Entity details

Refer to the [standard industrial classification](#) available from SARS when you answer the following questions.

What is the main business activity (eg mining of metal ore)?	<input type="text"/>
What is the entity's <a href="#">standard industrial classification</a> (eg 07 – mining for metal ore)?	<input type="text"/>
What is the nature of the business (eg mining for gold)?	<input type="text"/>

**Entity representatives**

We need to identify all persons who ultimately hold a material interest in the entity, including persons appointed to do transactions with us on behalf of the entity. List these persons and give their details with their capacities below. We will need a **Personal details declaration** form for any representative whose details are not on the list.

**The trustees, active members, managers, chief executive officer or managing director**

	Representative 1	Representative 2
Title and initials	<input type="text"/>	<input type="text"/>
Name and surname	<input type="text"/>	<input type="text"/>
ID/Passport number (if foreign national)	<input type="text"/>	<input type="text"/>
Country of residence	<input type="text"/>	<input type="text"/>
Capacity	<input type="text"/>	<input type="text"/>
Are you a politically-exposed person or a prominent influential person?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>

	Representative 3	Representative 4
Title and initials	<input type="text"/>	<input type="text"/>
Name and surname	<input type="text"/>	<input type="text"/>
ID/Passport number (if foreign national)	<input type="text"/>	<input type="text"/>
Country of residence	<input type="text"/>	<input type="text"/>
Capacity	<input type="text"/>	<input type="text"/>
Are you a politically-exposed person or a prominent influential person?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>

**Trust beneficiaries or the shareholders (according to the latest financial statements)**

	Representative 1	Representative 2
Title and initials	<input type="text"/>	<input type="text"/>
Name and surname/name of entity	<input type="text"/>	<input type="text"/>
ID/Registration number (passport number if foreign national)	<input type="text"/>	<input type="text"/>
Country of residence	<input type="text"/>	<input type="text"/>
Are you a politically-exposed person or a prominent influential person?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>

	Representative 3	Representative 4
Title and initials	<input type="text"/>	<input type="text"/>
Name and surname/name of entity	<input type="text"/>	<input type="text"/>
ID/Registration number (passport number if foreign national)	<input type="text"/>	<input type="text"/>
Country of residence	<input type="text"/>	<input type="text"/>
Are you a politically-exposed person or a prominent influential person?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>

**Founders of the trust (if a trust)**

	Representative 1	Representative 2
Title and initials	<input type="text"/>	<input type="text"/>
Name and surname	<input type="text"/>	<input type="text"/>
ID/Passport number (if foreign national)	<input type="text"/>	<input type="text"/>
Country of residence	<input type="text"/>	<input type="text"/>
Are you a politically-exposed person or a prominent influential person?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>

	Representative 3	Representative 4
Title and initials	<div></div>	<div></div>
Name and surname	<div></div>	<div></div>
ID/Passport number (if foreign national)	<div></div>	<div></div>
Country of residence	<div></div>	<div></div>
Are you a politically-exposed person or a prominent influential person?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>

Natural persons or legal entities entitled to exercise 25% or more of the voting rights at general meetings

	Representative 1	Representative 2
Title and initials	<div></div>	<div></div>
Name and surname/name of entity	<div></div>	<div></div>
ID/Registration number (passport number if foreign national)	<div></div>	<div></div>
Country of residence	<div></div>	<div></div>
Are you a politically-exposed person or a prominent influential person?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>

	Representative 3	Representative 4
Title and initials	<div></div>	<div></div>
Name and surname/name of entity	<div></div>	<div></div>
ID/Registration number (passport number if foreign national)	<div></div>	<div></div>
Country of residence	<div></div>	<div></div>
Are you a politically-exposed person or a prominent influential person?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>

If a foreign registered entity, the managers in South Africa that look after the entity's affairs

	Representative 1	Representative 2
Title and initials	<div></div>	<div></div>
Name and surname	<div></div>	<div></div>
ID/Passport number (if foreign national)	<div></div>	<div></div>
Country of residence	<div></div>	<div></div>
Are you a politically-exposed person or a prominent influential person?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>

	Representative 3	Representative 4
Title and initials	<div></div>	<div></div>
Name and surname	<div></div>	<div></div>
ID/Passport number (if foreign national)	<div></div>	<div></div>
Country of residence	<div></div>	<div></div>
Are you a politically-exposed person or a prominent influential person?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>

### 3 Tax and regulatory information

### 3.1 Politically-exposed person or a prominent influential person

Are you (individual investment payer) a politically-exposed person or a prominent influential person? Yes ☐ No ☐

If 'Yes', indicate which of the following applies to you:

Domestic prominent influential person ☐ Foreign prominent public official ☐ Politically-exposed person ☐

Known close associate to a prominent person ☐ Family member of one of the above ☐

### 3.2 Source of the regular investment or lump sum amount

Tell us where the investment money from. You may indicate more than one source.

What is your source of the regular investment or lump sum amount?

<input type="checkbox"/> Salary/royalties	<input type="checkbox"/> Savings	<input type="checkbox"/> Inheritance/compensation/divorce settlement
<input type="checkbox"/> Sale of investment/property	<input type="checkbox"/> Import/export business	<input type="checkbox"/> Business activities/sale of business
<input type="checkbox"/> Policy as a beneficiary	<input type="checkbox"/> Policy benefit (matured/as a claim/replacement)	<input type="checkbox"/> Retirement fund (member or beneficiary) proceeds
<input type="checkbox"/> Trust	<input type="checkbox"/> Sale of other assets/donation/gift	<input type="checkbox"/> Loan
<input type="checkbox"/> Winnings (i.e. Lotto, casino, etc)	<input type="checkbox"/> Other (specify)	

Which country is the origin of your source of funds?

#### 4 Payment details

Indicate your choice below and only complete corresponding according to the your investment type.

4.1 Lump sum investment  4.2 Regular investment

#### 4.1 Lump sum investment

Lump sum amount R 

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## Payment details

Read the information below before you choose a payment option.

Payment method	Collection from bank account (up to R2 million)	<input type="checkbox"/>	EFT	<input type="checkbox"/>
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Collect from bank account	<p>We will debit your account within two business days after accepting your application.</p> <p>The reference number on your bank account will be a combination MOMCI (an abbreviation of Momentum Collective Investments) and the RU investment number, eg MOMCI RU123456789.</p>
EFT	<p>We need a copy of the EFT confirmation before we can finalise your investment instruction.</p> <p>The waiting period on the units that we sell or transfer with the transferred amount is seven days.</p>

Complete your South African bank account details. We do not collect from a credit card or a bond account.

Bank name  Account number

Type of account	Current/cheque	<input type="checkbox"/>	Savings	<input type="checkbox"/>
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Collection date 

D	D	M	M	Y	Y	Y	Y
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 If the collection day falls on a weekend or public holiday, the collection day will automatically be on the following business day.



## 6 Financial adviser

If your financial adviser helped you with this instruction, we need your adviser's details.

### 6.1 Financial adviser details

Name and surname	<input type="text"/>
Financial adviser code	<input type="text"/>

#### Contact details of servicing financial adviser

Cell phone number	<table border="1"><tr><td>+</td><td>2</td><td>7</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>	+	2	7													Other	<table border="1"><tr><td>+</td><td>2</td><td>7</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>	+	2	7												
+	2	7																															
+	2	7																															
Email address	<input type="text"/>																																

### 6.2 Financial adviser declaration

1. I explained this instruction to the investment payer before the investment payer signed.
2. The information on this instruction is to my knowledge, true and correct.
3. I will not hold you liable for any loss or damage if the instruction you received was changed after I signed it.

Signed at	<input type="text"/>	Date	<table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y				

Signature of financial adviser	<input type="text"/>
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## Contact details

### Client contact centre

ShareCall: 0860 111 899, Telephone: +27 (0)12 675 3002, Email: [ci.clientservice@momentum.co.za](mailto:ci.clientservice@momentum.co.za)  
Address: 268 West Avenue Centurion, 0157, Postal: PO Box 7400 Centurion, 0046, Website: [momentum.co.za/collectiveinvestments](https://momentum.co.za/collectiveinvestments)

**Momentum Collective Investments (RF) (Pty) Ltd is an approved CISCA management company.**