

Momentum Investments Entity details declaration

Your declaration

1. This declaration is for a trust, close corporation, non-profit entity, non-taxable entity, private company and listed company who is the investment owner.
2. We need a copy of the resolution authorising the persons who may act on behalf of the entity. For a trust, we also need a letter of authority from the Master's Office confirming registration of the trust and a copy of the trust deed or founding document. You can also refer to our Fica forms for acceptable documents.
3. Based on the information you provide, we may ask for additional information and documents.
4. If we cannot process any part of this request, we will inform you or your financial adviser.
5. You may not change any part of this form and the terms of this investment. When you correct any information you have completed, sign next to it.
6. We populated certain fields on this form to guide you. You can change these fields according to your requirements.
7. The information in this form is part of the agreement for the investment number below or a new business application.
8. This declaration can be used by Momentum Wealth and Momentum Collective Investments declarants.

1: Investor details

This declaration is for investment number

1.1 Entity details

Type of entity Trust Close corporation Non-profit entity Non-taxable entity Private company Listed company

If listed, give the name of stock exchange where company is listed

Name of entity

Trading name, if different to the name of the entity above

Previous entity/trading names

Registration number

Registration date

If a trust, the city and province where the trust was registered City Province

We make use of alphabetical country codes where needed. You can get a list of the country codes from the South African Revenue Service (Sars) at sars.gov.za, or ask your financial adviser.

Country of registration or incorporation Z A F (ZAF if South Africa) Country of business Z A F (ZAF if South Africa)

If the entity is trading in a foreign country, is the trading name of the entity the same as the entity name above? Yes No

If not, give the trading name of the entity in the foreign country

Physical address

Unit number Complex

Street number Street name/Farm

Suburb/District

City/Town

Postal code Country code Z A F (ZAF if South Africa)

Is the entity the owner or a tenant at the above physical address? Owner Tenant

Refer to the standard industrial classification available from Sars at sars.gov.za, when you answer the following questions.

What is the main business activity (eg mining of metal ore)?

What is the entity's standard industrial classification (eg 07 – mining for metal ore)?

What is the nature of the business (eg mining for gold)?

1.2 Communication details

Details of the contact person

Cell phone number
 Other
 Email address
 Name and surname
 Capacity
 Identification number

To protect your information and ensure speedy delivery, we will communicate with you using the above email address.

1.3 Entity representatives

We need to identify all persons who ultimately hold a material interest in the entity, including persons appointed to interact and do transactions with us on behalf of the entity. List these persons and give their details with their capacities below.

The trustees, active members, managers, chief executive officer or managing director

	Full name(s)	Identification number	Identification country of issue	Physical address	Capacity	Appointment date/ Percentage holding
1.						
2.						
3.						
4.						
5.						
6.						
7.						
8.						

The trust beneficiaries or the shareholders

	Full name(s)/Name of entity	Identification/Registration number	Identification country of issue	Physical address	Capacity	Percentage holding (For shareholding)
1.						
2.						
3.						
4.						

If a trust, the founders of the trust

	Full name(s)	Identification number	Identification country of issue	Physical address
1.				
2.				
3.				

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Natural persons or legal entities entitled to exercise 25% or more of the voting rights at general meetings of the entity

	Full name(s)/Name of entity	Identification/ Registration number	Identification country of issue	Physical address	Capacity	Percentage holding
1.						
2.						
3.						
4.						

If a foreign registered entity, the managers in South Africa that look after the entity's affairs in this country

	Full name(s)	Identification number	Identification country of issue	Physical address	Capacity	Appointment date
1.						D D M M Y Y Y Y
2.						D D M M Y Y Y Y
3.						D D M M Y Y Y Y
4.						D D M M Y Y Y Y

1.4 Entity tax details

Is the investor registered as a tax payer with Sars? Yes No

If registered, give the Sars tax number

If not registered, provide us with a reason

If registered for VAT, give the VAT number

If the entity is currently, or has previously been, registered for tax in other countries, complete those details below.

Tax identification number (TIN)	Tax residence country code	Country name
<input style="width: 100%; height: 15px;" type="text"/>	<input style="width: 30px;" type="text"/>	<input style="width: 90%;" type="text"/>
<input style="width: 100%; height: 15px;" type="text"/>	<input style="width: 30px;" type="text"/>	<input style="width: 90%;" type="text"/>
<input style="width: 100%; height: 15px;" type="text"/>	<input style="width: 30px;" type="text"/>	<input style="width: 90%;" type="text"/>

The Foreign Account Tax Compliance Act (Fatca) and Common Reporting Standards and the South African Income Tax Act requires us to obtain the following information. You can find more detailed information from Sars at sars.gov.za.

What type of financial institution is the entity? Choose an option and give more information.

<p>A. Non-financial entity (NFE) <input type="checkbox"/></p> <hr/> <p>Active non-financial entity <input type="checkbox"/></p> <p>Passive non-financial entity <input type="checkbox"/></p> <p>If passive NFE, how many substantial owner/ controlling persons does the entity have? <input style="width: 30px;" type="text"/> <input style="width: 30px;" type="text"/></p> <p>Give their names:</p> <p><input style="width: 100%;" type="text"/></p> <p><input style="width: 100%;" type="text"/></p> <p><input style="width: 100%;" type="text"/></p> <p><input style="width: 100%;" type="text"/></p>	<p>B. Financial institution (FI)/Foreign financial institution (FFI) <input type="checkbox"/></p> <hr/> <p>Give the entity's global intermediary identification number (GIIN)</p> <p><input style="width: 100%;" type="text"/></p> <p>If you do not have a GIIN number, please specify the reason for this by selecting one of the below options:</p> <table border="0" style="width: 100%;"> <tr> <td>Non-participating FI/FFI</td><td><input type="checkbox"/></td> <td>Owner-documented FI/FFI</td><td><input type="checkbox"/></td> </tr> <tr> <td>Certified deemed compliant FI/FFI</td><td><input type="checkbox"/></td> <td>Exempt beneficial owner</td><td><input type="checkbox"/></td> </tr> <tr> <td>United States FI</td><td><input type="checkbox"/></td> <td>Other</td><td><input type="checkbox"/></td> </tr> </table> <p>If "other", give more details: <input style="width: 300px;" type="text"/></p>	Non-participating FI/FFI	<input type="checkbox"/>	Owner-documented FI/FFI	<input type="checkbox"/>	Certified deemed compliant FI/FFI	<input type="checkbox"/>	Exempt beneficial owner	<input type="checkbox"/>	United States FI	<input type="checkbox"/>	Other	<input type="checkbox"/>
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1.5 Entity declaration

1. I declare that I have read the data protection provisions in the investment terms and give you permission to use my information as required.
2. I acknowledge that you may be required to send the information provided to Sars, who may share it with the local tax authority according to the Fatca and the Organisation for Economic Co-operation and Development's (OECD's) Common Reporting standard (CRS).
3. I confirm that I am authorised to sign this declaration.
4. I understand and accept:
 - your timelines, business practices and administrative processes;
 - that you can share my personal information within your holding company, its subsidiaries and contracted service providers to administer my investment and provide me with information to help me on my journey to success.
5. The information I have provided is true and correct.
6. I will not hold you responsible for any loss due to an unclear, incorrect or incomplete declaration I have sent.
7. I undertake to inform Momentum Investments in writing should the circumstances and information of the investor change.

<p>Authorised signatory 1</p> <div style="border: 1px solid black; height: 30px; width: 100%;"></div> <p>Name and surname</p> <div style="border: 1px solid black; height: 20px; width: 100%;"></div> <p>Capacity of signatory</p> <div style="border: 1px solid black; height: 20px; width: 100%;"></div> <p>Signed at</p> <table border="1" style="width: 100%; text-align: center; border-collapse: collapse;"> <tr> <td style="width: 20px;">D</td><td style="width: 20px;">D</td><td style="width: 20px;">M</td><td style="width: 20px;">M</td><td style="width: 20px;">Y</td><td style="width: 20px;">Y</td><td style="width: 20px;">Y</td><td style="width: 20px;">Y</td> </tr> </table> <p>Date</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div> <p>Signature</p>	D	D	M	M	Y	Y	Y	Y	<p>Authorised signatory 2</p> <div style="border: 1px solid black; height: 30px; width: 100%;"></div> <p>Name and surname</p> <div style="border: 1px solid black; height: 20px; width: 100%;"></div> <p>Capacity of signatory</p> <div style="border: 1px solid black; height: 20px; width: 100%;"></div> <p>Signed at</p> <table border="1" style="width: 100%; text-align: center; border-collapse: collapse;"> <tr> <td style="width: 20px;">D</td><td style="width: 20px;">D</td><td style="width: 20px;">M</td><td style="width: 20px;">M</td><td style="width: 20px;">Y</td><td style="width: 20px;">Y</td><td style="width: 20px;">Y</td><td style="width: 20px;">Y</td> </tr> </table> <p>Date</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div> <p>Signature</p>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y										
D	D	M	M	Y	Y	Y	Y										

Contact details

Client contact centre Momentum Wealth

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Client contact centre Momentum Collective Investments

ShareCall: 0860 111 899, Telephone: +27 (0)12 675 3002, Email: ci.clientservice@momentum.co.za

Address: 268 West Avenue Centurion 0157, Postal: PO Box 7400 Centurion 0046, Website: momentum.co.za