

Momentum Investments

Personal details declaration

Important:

1. This declaration is also for a parent, legal guardian, entity representative, curator, executor, controlling person, founder of a trust, trustee, trust beneficiary, chief executive officer, shareholder, principal officer, director, manager or any person holding power of attorney for the investor.
2. We need a copy of the child's birth certificate or proof of your appointment as an authorised representative. You can refer to our Fica form for acceptable documentation.
3. Based on the information you provide, we may ask for additional information and documents.
4. If we cannot process any part of this instruction, we will inform you or your financial adviser.
5. You may not change any part of this form. When you correct any information you have completed, sign next to it.
6. The information in this form is part of the agreement for the investment number below or a new business application.

1: Investor details

My declaration is for the following investment number

Name and surname/Entity name of the investor

Identity/Passport/Registration number

2: My personal details

If not for myself, I act as

<input type="checkbox"/> Parent/Legal guardian	<input type="checkbox"/> Power of attorney	<input type="checkbox"/> Curator/Executor
<input type="checkbox"/> Controlling person	<input type="checkbox"/> Founder	<input type="checkbox"/> Authorised representative
<input type="checkbox"/> Trustee	<input type="checkbox"/> Trust beneficiary	<input type="checkbox"/> Principal officer
<input type="checkbox"/> Chief executive officer	<input type="checkbox"/> Manager director	<input type="checkbox"/> Shareholder

Other, give details

Are you an authorised signatory for this investment? Yes No

Surname

Previous surnames

Title

Full names

Preferred name

You must use alphabetical country codes where needed. You can get a list of the country codes from the South African Revenue Service (Sars) at sars.gov.za, or ask your financial adviser.

What identification document do you use?

<input type="checkbox"/> South African identity document	<input type="checkbox"/> Temporary South African identity document
<input type="checkbox"/> South African driver's license card	<input type="checkbox"/> Foreign passport

Identification number

If you are not using a South African identity document we need the expiry date of your identification document

If you use your passport, what is the country code of the issuing country

Nationality (ZAF if South Africa) Country of birth (ZAF if South Africa)

Citizenship (ZAF if South Africa) If you hold dual citizenship, give the other country's code

Date of birth Gender Male Female

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Physical address

Unit number Complex

Street number Street name/Farm

Suburb/District

City/Town

Postal code Country code (ZAF if South Africa)

Are you the owner or a tenant at the above physical address? Owner Tenant

What is your source of income or wealth?

Your income or wealth is the money you use to support yourself every day. You may indicate more than one source of income or wealth, and give more information.

<input type="checkbox"/> Funds received from a retirement fund, compensation benefit	Name of retirement fund, details and date of compensation benefit
<input type="checkbox"/> Income earned as a business owner (self-employed, director)	Name of business and industry
<input type="checkbox"/> Income earned as trustee or trust beneficiary	Name of the trust and trust registration number
<input type="checkbox"/> Income earned from import or export business	Name of business and nature of goods
<input type="checkbox"/> Income earned from royalties	Type of royalty, organisation and specific information
<input type="checkbox"/> Income or profits from business activities (if not salary)	Name of business, industry and specific activity
<input type="checkbox"/> Income from investment activities	Financial services provider, account or reference number and asset type, like shares, collective investment schemes, rental income, property sales
<input type="checkbox"/> Employment income (salary)	Name of employer, industry, occupation, job title
<input type="checkbox"/> Other unearned income	Give more information
<input type="checkbox"/> Other income	Give more information
<input type="checkbox"/> Not employed	

Which country is the origin of your source of funds? (ZAF if South Africa)

Influential persons

The Financial Intelligence Centre Act requires that we know if you are an influential person as explained in the Act. It differentiates between a politically exposed person, domestic prominent influential person, foreign prominent public official and a known close associate or family of domestic prominent influential persons and foreign prominent public officials. More than one of the definitions can apply to the same person. Read the explanations and answer the questions below.

- A **politically exposed person** is someone who is, or has been, entrusted with prominent public functions, based on a specific political affiliation. Examples: A head of state, cabinet minister, member of parliament, member of local or provincial government, senior administrator in a government department (specifically finance and tender process departments), senior judge, manager of a local municipality who awards tenders, senior and/or influential official, ambassador, high commissioner, senior representative of a religious organisation.
- A **domestic prominent influential person** is any individual who is, or has been, entrusted with prominent functions in South Africa. Examples: A premier of a province, government minister, leader of a political party or senior politician, high-ranking member of the military or police force.
- A **foreign prominent public official** is any individual who is, or has been, entrusted with prominent functions in a country other than South Africa. Examples: A premier or similar of foreign country's province or state, member of a foreign royal family, foreign government minister, leader of a foreign political party or senior politician, high-ranking member of a foreign military or police force.
- A **known close associate** is an individual closely connected to a prominent person, either socially or professionally. Close associate is not intended to include every person who has been associated with a domestic prominent influential person or foreign prominent public official. Examples: A prominent member of the same political party, civil organisation, labour or employee union as the prominent person, business partner or associate, especially one that shares (beneficial) ownership of corporate vehicles with the prominent person, or who is otherwise connected through joint membership of a company board, any individual who has sole beneficial ownership of a corporate vehicle set up for the actual benefit of the prominent person, a known close relationship outside the family unit like a girlfriend, boyfriend, mistress.
- A **family member** is an individual who is related to a politically exposed person, domestic prominent influential person, foreign prominent public official and a known close associate either directly (biological) or through marriage or similar (civil) forms of partnership. Examples: A spouse, previous spouse, children and stepchildren and their spouses, parents, siblings and stepsiblings and their spouses.

Indicate which explanations apply to you and give your reason.

<input type="checkbox"/> Politically exposed person	<input type="text"/>
<input type="checkbox"/> Domestic prominent influential person	<input type="text"/>
<input type="checkbox"/> Foreign prominent public official	<input type="text"/>
<input type="checkbox"/> Known close associate	<input type="text"/>
<input type="checkbox"/> Family member	<input type="text"/>

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2.1 My communication details

Cell phone number

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 Other

+	2	7	(0)												
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Email address

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We will, where possible, communicate with you in English. Please specify if you prefer Afrikaans.

To protect your information and ensure speedy delivery, we will communicate with you using the above email address. If you do not have an email address or prefer communication by post, we need your postal address.

Postal address

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City/Town

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Postal code

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 Country code

Z	A	F
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 (ZA if South Africa)

2.2 My tax details

Are you a registered tax payer with the South African Revenue Service (Sars) Yes No

If you are, give your Sars tax number (ZAF)

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If not, give the reason for not being registered Yearly taxable income is below tax threshold

Foreign tax resident

Other, give reason

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If you are currently, or have been previously, registered for tax in other countries, complete those details below.

Tax identification number (TIN)	Tax residence (country code)	Country name																																				
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3: My declaration

- I declare that I have read the data protection provisions in the investment terms and give you permission to use my information.
- I understand that you may be legally required to send the information provided to Sars, who may share it with the local tax authority according to the Foreign Accounts Tax Compliance Act (Fatca) and the Organisation for Economic Co-operation and Development's (OECD's) Common Reporting standard (CRS).
- If I am not the investor, any instruction I give you will be in line with the authority given to me.
- I confirm that all information on this form is true and correct and that I have read and understood its contents.
- I will let you know if any of the information that I gave you changes.

Signed at

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Signature	<table border="1"><tr><td colspan="16"></td></tr></table>																
Date <table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y									
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Momentum Investments

Momentum Metropolitan Life Limited is an authorised financial services and registered credit provider, and part of Momentum Metropolitan Holdings Limited. Reg no 1904/002186/06 (FSP6406)

Refer to momentum.co.za for details of the directors and company secretaries.