

# Momentum Collective Investments (RF) (Pty)Ltd

## Transfer of ownership (units transfer)

<b>Your instruction</b>	<ol style="list-style-type: none"> <li>1. The transferee is the person to whom the investment is to be transferred.</li> <li>2. The transferor is the person who makes a transfer.</li> <li>3. This form cannot be used to register a security cession.</li> <li>4. You may not change any part of this form. When you correct any information you have completed, sign next to it.</li> <li>5. Based on the information you provide, we may ask for additional information and documents.</li> <li>6. If we cannot process any part of this instruction, we will inform you or your financial adviser.</li> </ol>
<b>Cut-off times</b>	<ol style="list-style-type: none"> <li>1. Please note that if the instruction and documents received are in order, the following cut-off times apply: <ul style="list-style-type: none"> <li>• For money market funds the daily cut-off time is 10:00.</li> <li>• For all other funds the daily cut-off time is 14:00.</li> </ul> </li> <li>2. If we cannot process the transaction on the same day, it will take place on the next business day or at the earliest possible opportunity according to legislation, our administration processes and any other unit trust limitations. We will determine the fund's price at the confirmed day 's net asset value (NAV) unit price.</li> </ol>
<b>Information we may need</b>	<ol style="list-style-type: none"> <li>1. If the transferee does not have an existing Momentum Collective Investments (MCI) product, we require a new business application form accompanied by the supporting documentation.</li> </ol>



### 3 Transfer options

MCI will transfer the units within two business days and record the transaction for the effective date on which we transferred the units at that date's unit price.

Please indicate your choice below.

All units ☐ Units as instructed below ☐

Fund name	Units

#### Transferor

If you have a regular debit order, do you want us to continue collecting the debit order? Yes ☐ No ☐

If you want to make changes to your existing regular debit order, complete **Recurring instruction** form.

### 4 Financial adviser

The initial and ongoing adviser fees are negotiated between you and the appointed financial adviser.

Do you want us to transfer the appointed financial adviser and fees to the transferee investment(s)? Yes ☐ No ☐

If 'No', an appointed financial adviser must complete section 7.

### 5 Transferor declaration

1. I confirm that I am authorised to sign this instruction.
2. I instruct Momentum Collective Investments (RF) (Pty) Ltd to effect this transfer.
3. I understand that full ownership and title of the unit trust stated above will pass to the transferee.
4. I understand and accept:
  - your timelines, business practices and administrative processes; and
  - that you can share my personal information (as this term is defined in the Protection of Personal Information Act) within your holding company, its subsidiaries and contracted service providers in order to administer my investment and give me information to help me on my journey to financial success.
5. I acknowledge that you may be required to send the information provided to South African Revenue Service (SARS), who may share it with the local tax authority according to the Foreign Account Tax Compliance Act (FATCA) and the Organisation for Economic Co-operation and Development's (OECD's) Common Reporting Standards (CRS).
6. I will let you know if any of the information I gave you changes.

**I have carefully read and I understand this transfer of ownership instruction. The information I completed is true and correct. I will not hold you liable for any loss or damage if the instruction you received was changed after I signed it.**

Name and surname

Capacity of signatory

Signed at  Date

Authorised signatory	<input type="text"/>	Other signature if required	<input type="text"/>
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## 6 Transferee declaration

1. I accept the unit transfer as indicated in Section 3.
2. I warrant that the information contained herein is true and correct and that where this form is signed in a representative capacity, the necessary authority has been given and the transaction is within the mandate granted by the principal.
3. I understand and accept that you can share my personal information (as this term is defined in the Protection of Personal Information Act) within your holding company, its subsidiaries and contracted service providers in order to administer my investment and give me information to help me on my journey to financial success.
4. I understand that the chosen adviser fees are negotiated between me and my appointed financial adviser and I instruct you to pay my financial adviser the agreed adviser fees from my investment as confirmed. I understand that you will use the adviser fees for this and any future instructions until I give you written instruction that these no longer apply. I cannot hold you liable for acting on the negotiated fees when you follow instructions.

**I have carefully read and I understand this transfer of ownership instruction. The information I completed is true and correct. I will not hold you liable for any loss or damage if the instruction you received was changed after I signed it.**

Name and surname

Capacity of signatory

Signed at  Date 

D	D	M	M	Y	Y	Y	Y
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<b>Authorised signatory</b>	<input type="text"/>	<b>Other signature if required</b>	<input type="text"/>
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## 7 Financial adviser

If your financial adviser helped you with this instruction, we need your adviser's details.

### 7.1 Financial adviser details

#### Servicing financial adviser (for FAIS product licence compliance checks)

Name and surname

Financial adviser broker house code

Financial adviser code

FSP name

FSP code

Momentum consultant code

Fee reference

Fee split %  .  %

#### Additional adviser

Name and surname

Financial adviser broker house code

Financial adviser code

FSP name

FSP code

Momentum consultant code

Fee reference

Fee split %  .  %

#### Contact details of servicing financial adviser

Cell phone number 

+	2	7																	
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 Other 

+	2	7																	
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Email address

## 7.2 Financial adviser declaration

1. I am a licenced financial service provider with the relevant categories and sub-categories applicable to this relationship.
2. I provided and explained all documents, fees and charges that apply to this investment before this form was signed.
3. In addition to intermediary services, I have also provided the following:  
advice (I have a FAIS Category I licence) ☐ discretionary investment management (I have a FAIS Category II licence) ☐
4. Any instruction I give you will be within the limits of the signed mandate I have from the investor.
5. I have read this application, the terms, fee schedule and guides that apply to this investment.
6. The information on this application is to my knowledge, true and correct.
7. I will not hold you liable for any loss or damage if the instruction you received was changed after I signed it.

Signed at

Date

D	D	M	M	Y	Y	Y	Y
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Signature of financial adviser

## Contact details

### Client contact centre

ShareCall: 0860 111 899, Telephone: +27 (0)12 675 3002, Email: [ci.clientservice@momentum.co.za](mailto:ci.clientservice@momentum.co.za)

Address: 268 West Avenue Centurion, 0157, Postal: PO Box 7400 Centurion, 0046, Website: [momentum.co.za/collectiveinvestments](http://momentum.co.za/collectiveinvestments)

**Momentum Collective Investments (RF) (Pty) Ltd is an approved Cisca management company**