

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT – STATUTORY DISCLOSURES

1. Contact Details

Product Supplier and Financial Services Provider: Momentum, a part of Momentum Metropolitan Life Limited
Registration number 1904/002186/06
Financial Services Provider (FSP) 6406

Registered Credit Provider: NCRCP173

Telephone Number: (012) 671 8911

Physical address (Head Office): 268 West Avenue, Centurion 0157

Postal address: P.O. Box 7400, Centurion 0046

Website: www.momentum.co.za

2. Financial services provider

Momentum is a registered Category I (advice and intermediary services) Financial Services Provider (FSP) and is authorised to provide financial services on the following products:

- Long-term Insurance subcategory A
- Long-term Insurance subcategory B1
- Long-term Insurance subcategory B1-A
- Long-term Insurance subcategory B2
- Long-term Insurance subcategory B2-A
- Long-term Insurance subcategory C
- Short-term Insurance Personal Lines
- Short-term Insurance Personal Lines A1
- Short-term Insurance Commercial Lines
- Retail Pension Benefits
- Pension Fund Benefits
- Money market instruments
- Shares
- Derivative instruments
- Participatory interests in a collective investment scheme
- Participatory interest in a hedge fund
- Short-term Deposits
- Structured Deposits
- Health Service Benefits

3. Contractual relationship and conflict of interest

A contractual relationship exists between Momentum and Momentum Financial Planning's financial advisers. In terms of this agreement, financial advisers are authorised to render financial services to clients on behalf of Momentum.

Momentum accepts responsibility for the activities of its financial advisers insofar as they are performed within the scope of that agreement. As a representative of Momentum, financial advisers receive remuneration from Momentum only and as a result may be eligible for special bonuses and awards for producing business volumes above specified targets for the financial products of Momentum and its affiliated product suppliers.

Momentum has a conflict of interest management policy that is available on www.momentum.co.za.

4. Financial services and product suppliers

Financial advisers may only recommend products from suppliers affiliated to Momentum, or suppliers with whom Momentum has concluded a general agency agreement. A product supplier means a company or division that issues financial products under a regulatory authority. These products are recommended to clients under only the brand names of Momentum, Momentum Trust, and Guardrisk. They are risk benefits, savings, investments, retirement funds, linked investments (unit trusts), short-term insurance (including Momentum gap cover and other products



underwritten by Guardrisk), healthcare products and Multiply. In addition, financial advisers may assist with wills and trusts.

5. Professional indemnity and fidelity insurance cover _____

Momentum is insured against claims from professional negligence, errors and omissions on the part of its financial advisers but does not hold any other guarantees.

6. Compliance department and complaints procedures _____

Momentum maintains a comprehensive complaints resolution system. Details are available on the Momentum website at www.momentum.co.za.

The Complaints department may be contacted at:

Telephone: 012 671 8911 – Momentum Financial Planning
Email: clientcomplaints@momentum.co.za
Physical address: 268 West Avenue, Centurion, 0046

The Compliance department may be contacted at:

Telephone: 012 671 8911 – Momentum Financial Planning
Email: salescompliance@momentum.co.za
Physical address: 268 West Avenue, Centurion, 0046